Rucker James N B Form 4 January 20, 2010

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB APPROVAL

OMB Number:

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * Rucker James N B

2. Issuer Name and Ticker or Trading

Issuer

Symbol

MARKETAXESS HOLDINGS INC

(Check all applicable)

5. Relationship of Reporting Person(s) to

[MKTX]

(Last) (First) (Middle) 3. Date of Earliest Transaction

10% Owner Other (specify

(Month/Day/Year) 01/15/2010

X_ Officer (give title below) Chief Financial Officer

Director

C/O MARKETAXESS HOLDINGS INC., 140 BROADWAY, 42ND

(Street)

FLOOR

4. If Amendment, Date Original

Code

(Instr. 8)

(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

Filed(Month/Day/Year)

X Form filed by One Reporting Person Form filed by More than One Reporting

NEW YORK, NY 10005

(City) (State) (Zip) 1.Title of 2. Transaction Date 2A. Deemed

(Month/Day/Year)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 3. 4. Securities Acquired Execution Date, if

Transaction(A) or Disposed of (D) (Instr. 3, 4 and 5)

5. Amount of Securities Beneficially Owned

7. Nature of Indirect Ownership Form: Direct Beneficial (D) or Ownership (Instr. 4)

Indirect (I) (Instr. 4)

(A) (D)

Price

Reported Transaction(s) (Instr. 3 and 4)

Following

Common

Security

(Instr. 3)

Stock, par value

01/15/2010 Α 8,454 (1) 144,056 D Α

Code V Amount

\$0.003 per

share

Common

Stock, par 01/15/2010 Α 13,640 A 157,696 D value <u>(2)</u>

\$0.003 per

share

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Common Stock, par

D value 01/15/2010

\$0.003 per share

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of 3. Transaction Date 3A. Deemed 6. Date Exercisable and 7. Title and 8. Price of Derivative Conversion (Month/Day/Year) Execution Date, if TransactionNumber **Expiration Date** Amount of Derivative (Month/Day/Year) Security Underlying Security or Exercise of Price of (Instr. 3) (Month/Day/Year) (Instr. 8) Derivative Securities (Instr. 5) Derivative Securities (Instr. 3 and 4) Security Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) Amount or Expiration Title Number Date

Reporting Owners

Relationships Reporting Owner Name / Address

> Director 10% Owner Officer Other

Code V (A) (D)

Exercisable

Date

of

Shares

Rucker James N B

C/O MARKETAXESS HOLDINGS INC. Chief Financial Officer 140 BROADWAY, 42ND FLOOR

NEW YORK, NY 10005

Signatures

/s/ Ori Solomon, as Attorney-in-Fact for James N.B. 01/20/2010 Rucker

**Signature of Reporting Person Date

Explanation of Responses:

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1)

Reporting Owners 2

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Settlement of performance shares granted January 22, 2009 pursuant to the Company's 2004 Stock Incentive Plan (Amended and Restated Effective April 28, 2006) (the "2004 Plan"), which were settled based on the achievement by the Company of adjusted pre-tax operating income targets during the performance period and are payable in shares of restricted stock. The shares of restricted stock will vest in two equal annual installments on each of the second and third anniversaries of the grant date of the performance share award.

- (2) Represents a grant of restricted stock pursuant to the 2004 Plan. The shares of restricted stock will vest in three equal annual installments, beginning on the first anniversary of the date of grant.
- (3) Represents the surrender of shares to the Company to satisfy Mr. Rucker's tax withholding obligation upon the vesting of shares of restricted stock previously granted to Mr. Rucker.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.