## Edgar Filing: MARKETAXESS HOLDINGS INC - Form 4

MARKETAX Form 4 August 16, 20	KESS HOLDII 005	NGS INC	J								
FORM	1									PPROVAL	
-	UNITE	D STATES		ITIES Al hington,			NGE (	COMMISSION	OMB Number:	3235-0287	
Section 16. Form 4 or Form 5 colligations			F CHANGES IN BENEFICIAL OWNERSH SECURITIES Section 16(a) of the Securities Exchange Act of Public Utility Holding Company Act of 1935 or					ge Act of 1934,	Expires: Estimated a burden hou response	ours per	
may conti <i>See</i> Instru 1(b).	nue. Section 1		of the Inv	•	•	- ·			n		
(Print or Type R	esponses)										
1. Name and Address of Reporting Person <u>*</u> GOMACH DAVID G			2. Issuer Name and Ticker or Trading Symbol MARKETAXESS HOLDINGS INC [MKTX]				-	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
(Last)	(Last) (First) (Middle)			3. Date of Earliest Transaction (Month/Day/Year)				XDirector10% Owner Officer (give titleOther (specify			
	ETAXESS HO ROADWAY, 4		08/15/20	-				below)	below)		
	(Street)		4. If Amendment, Date Original Filed(Month/Day/Year)			6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person					
NEW YORK	K, NY 10005							Form filed by M Person			
(City)	(State)	(Zip)	Table	e I - Non-Do	erivative S	Securi	ties Ac	quired, Disposed o	of, or Beneficia	lly Owned	
1.Title of Security (Instr. 3)	ecurity (Month/Day/Year) Execution Da		on Date, if	n Date, if TransactionAcquired (A) or Code Disposed of (D)			))	5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
a					Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)			
Common Stock, par value \$0.003 per share	08/15/2005			А	5,000	A	<u>(1)</u>	5,000	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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#### number.

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. Number onof Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8 I S (
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Employee Stock Option (right to buy)	\$ 10.04	08/15/2005		М	5,000	(2)	08/15/2015	Common Stock	5,000	

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
GOMACH DAVID G C/O MARKETAXESS HOLDINGS INC. 140 BROADWAY, 42ND FLOOR NEW YORK, NY 10005	Х					
Signatures						
/s/ Ori Solomon as attorney-in-fact for Dav Gomach	rid G.	08/16/2005				
<u>**</u> Signature of Reporting Person		Date				

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents a grant of restricted stock pursuant to the Company's 2004 Stock Incentive Plan.
- (2) The option was granted on August 15, 2005. The option vests and becomes exercisable in two equal installments on November 30, 2005 and May 31, 2006.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.