Edgar Filing: JOHNSON CONTROLS INC - Form 4

JOHNSON Form 4 November 2	CONTROLS INC	2								
FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMM Check this box Washington, D.C. 20549 Check this box STATEMENT OF CHANGES IN BENEFICIAL OWNERS Section 16. SECURITIES Form 4 or Filed pursuant to Section 16(a) of the Securities Exchange Act of Section 17(a) of the Public Utility Holding Company Act of 1935 See Instruction 1(b). 30(h) of the Investment Company Act of 1940					ERSHIP OF Act of 1934, 1935 or Section	OMB Number: Expires: Estimated a burden hour response				
(Print or Type Responses) 1. Name and Address of Reporting Person <u>*</u> Crosby Grady L			2. Issuer Name and ymbol OHNSON CON				5. Relationship of Reporting Person(s) to Issuer			
(Last) (First) (Middle) 5757 N GREEN BAY AVENUE (Street) MILWAUKEE, WI 53209			3. Date of Earliest Transaction (Month/Day/Year) 11/24/2014				(Check all applicable) <u></u> Director <u></u> 10% Owner <u></u> Officer (give title <u></u> Other (specify below) VP Pub Affairs, Chief Div Ofcr			
			. If Amendment, Da iled(Month/Day/Year	-	ıl		6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person			
(City)	(State)	(Zip)	Table I - Non-I	Derivative	Secu	rities Acau	ired, Disposed of,	or Beneficial	v Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	Execution Dates any	I 3.	4. Securit for Dispos (Instr. 3, -	ies A ed of	cquired (A) (D)		6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock	11/24/2014		M	4,500	A	\$ 27.85	8,548.543	D		
Common Stock	11/24/2014		D	4,500	D	\$ 50.4969 (1)	4,048.543	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	onof De Secu Acqu (A) o Disp (D)	rities uired or osed of r. 3, 4,	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount o Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amoun or Numbe of Share
Employee Stock Option (Right to Buy)	\$ 28.54	11/24/2014		М		4,500	10/07/2013	10/07/2021	Common Stock	4,500
Employee Stock Option (Right to Buy)	\$ 27.85						10/05/2014 <u>(2)</u>	10/05/2022	Common Stock	10,20
Employee Stock Option (Right to Buy)	\$ 50.23						11/18/2016 <u>(2)</u>	11/18/2024	Common Stock	4,375

Reporting Owners

Reporting Owner Name / Address	Relationships						
1	Director	10% Owner	Officer	Other			
Crosby Grady L 5757 N GREEN BAY AVENUE MILWAUKEE, WI 53209			VP Pub Affairs, Chief Div Ofcr				
Signatures							
/s/ Angela M. Blair, attorney-in-fact for Grady L.							
Crosby			11/25/2014				
** Signature of Reporting Pe	rson		Date				
Explanation of Responses:							

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1)

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The price reported in Column 4 is a weighted average price. The shares were sold in multiple transactions at prices ranging from \$50.4943 to \$50.50, inclusive. The reporting person undertakes to provide to the issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in footnote 1 of this Form 4.

(2) Fifty percent of the options become exercisable two years after grant date; the remaining 50%, three years after grant date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.