Edgar Filing: CORNOG ROBERT A - Form 4

CORNOG ROBERT A

Form 4 April 01, 2003

FORM 4

_ Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

OMB APPROVAL

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Name and Address of Reporting Person* Cornog, Robert A.					me and Tic ontrols, Inc		P	6. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
(Last) 5757 N. Green F P.O. Box 591	of Repo	rting	ntification I g Person, voluntary)	Numbe	Mo	Statement for onth/Day/Year 1/03	10	X Director 10% Owner Officer (give title below) Other (specify below)				
Milwaukee, WI					Dat	If Amendment, te of Original onth/Day/Year)	(() X Po	7. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person Form filed by More than One Reporting Person				
(City)	(State) ((Zip)	T	able	I Non-E	Derivat	ive Sec	Securities Acquired, Disposed of, or Beneficially Owned				
1. Title of Security (Instr. 3)	Title of 2. Trans- 2A. Deemed ecurity action Execution				4. Securitie (A) or Disp (Instr. 3, 4	posed o		5. Amount of Securities Beneficially			7. Nature of Indirect Beneficial	
	(Month/ Day/ Year)	if any (Month/Day/ Year)	(Instr. 8 Code	V	Amount	(A) or (D)	Price	⊣		or Indirect (I) (Instr. 4)	Ownership (Instr. 4)	
Common Stock									6,516	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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FORM 4 (continued) Table II - Derivative Securities Acquired, Disposed of, or Beneficially **Owned**

(e.g. nuts calls warrants ontions convertible securities)

(c.g., puts, cans, warrants, options, convertible securities)													
1. Title of	2. Conver-	3.	3A.	4.	5.	6. Date Exercisable	7. Title and	8. Price of	9. Number of	10.	11. Nat		
Derivative	sion or	Trans-	Deemed	Trans-	Number	and Expiration	Amount of	Derivative	Derivative	Owner-	of Indir		
Security	Exercise	action	Execution	action	of	Date	Underlying	Security	Securities	ship	Benefic		
	Price of	Date	Date,	Code	Derivati	(Month/Day/	Securities	(Instr. 5)	Beneficially	Form	Owners		
(Instr. 3)	Derivative		if any		Securitie	Y ear)	(Instr. 3 & 4)		Owned	of Deriv-	(Instr. 4		
	Security	(Month/	(Month/	(Instr.	Acquire	d			Following	ative			
		Day/	Day/	8)	(A) or				Reported	Security:			
		Year)	Year)		Dispose	1			Transaction(s)	Direct			

^{*} If the form is filed by more than one reporting person, see Instruction 4(b)(v).

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				of (I (Inst 3, 4 5)	r.							(D) or Indirect (I) (Instr. 4)	
			Code			Exer-cisable	Expira- tion Date		Amount or Number of Shares				
Phantom Stock Units/Directors' Deferred Comp Plan	1-for-1	3/31/03	A	556		(1)		Common Stock		\$72.44	8,262.00	D	
Phantom Stock Units/Directors' Retirement Stock Plan	1-for-1					(2)	_	Common Stock	4089.03		4089.03 ⁽³⁾	D	

Explanation of Responses:

- (1) The phantom stock units were accrued under the Johnson Controls Directors' Deferred Compensation Plan and are to be settled 100% in cash upon the reporting person's retirement.
- (2) The phantom stock units were accrued under the Johnson Controls Directors' Retirement Stock Account and are to be settled 100% in cash upon the reporting person's retirement.
- (3) Includes 20.22 phantom stock units acquired pursuant to dividend reinvestment on March 31, 2003, at a price of \$72.44 per phantom stock unit.

By: /s/ Arlene D. Gumm
Attorney-In-Fact for Robert A. Cornog

**Signature of Reporting Person

Attorney-In-Fact for Robert A. Cornog

Note: File three copies of this Form, one of which must be manually signed.

If space is insufficient, See Instruction 6 for procedure.

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^{**}Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).