#### Edgar Filing: CORCEPT THERAPEUTICS INC - Form 3/A

#### CORCEPT THERAPEUTICS INC

Form 3/A

January 19, 2007

## FORM 3

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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SECURITIES

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement CORCEPT THERAPEUTICS INC [CORT] YOUNGER WILLIAM H JR (Month/Day/Year) 04/14/2004 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) 755 PAGE MILL ROAD, SUITE 04/14/2004 (Check all applicable) A-200 (Street) 6. Individual or Joint/Group \_X\_\_ 10% Owner Director Officer Other Filing(Check Applicable Line) (give title below) (specify below) \_X\_ Form filed by One Reporting Person PALO ALTO, Â CAÂ 943041005 Form filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 4. Nature of Indirect Beneficial 1. Title of Security 2. Amount of Securities Beneficially Owned Ownership Ownership (Instr. 4) (Instr. 5) (Instr. 4) Form: Direct (D) or Indirect (I) (Instr. 5) Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

currently valid OMB control number.

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)		4. Conversion or Exercise Price of	5. Ownership Form of Derivative	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable	Expiration Date		Amount or Number of Shares	Derivative Security: Security Direct (D) or Indirect (I)		

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(Instr. 5)

						(Ilistr. 3)	
Series A Preferred Stock	(1)	(2)	Common Stock	26,349	\$ (3)	I	By Trust (4)
Series A Preferred Stock	(1)	(2)	Common Stock	70,548	\$ <u>(3)</u>	I	By Profit Sharing Plan Trust (5)
Series A Preferred Stock	(1)	(2)	Common Stock	8,019	\$ <u>(3)</u>	I	By Ltd Partnership (SHAI) (6)
Series A Preferred Stock	(1)	(2)	Common Stock	20,304	\$ (3)	I	By Ltd Partnership (SHQP) (7)
Series A Preferred Stock	(1)	(2)	Common Stock	791,586	\$ (3)	I	By Ltd Partnership (SHV) (8)
Series A Preferred Stock	(1)	(2)	Common Stock	9,234	\$ (3)	I	By Children (9)
Series B Preferred Stock	(1)	(2)	Common Stock	77,322	\$ (3)	I	By Profit Sharing Plan Trust (5)
Series B Preferred Stock	(1)	(2)	Common Stock	5,775	\$ (3)	I	By Ltd Partnership (SHAI) (6)
Series B Preferred Stock	(1)	(2)	Common Stock	14,619	\$ (3)	I	By Ltd Partnership (SHQP) (7)
Series B Preferred Stock	(1)	(2)	Common Stock	569,940	\$ (3)	I	By Ltd Partnership (SHV) (8)
Series BB Preferred Stock	(1)	(2)	Common Stock	1,228	\$ (10)	I	By Ltd Partnership (SHAI) (6)
Series BB Preferred Stock	(1)	(2)	Common Stock	3,109	\$ (10)	I	By Ltd Partnership (SHQP) (7)
Series BB Preferred Stock	(1)	(2)	Common Stock	121,780	\$ (10)	I	By Ltd Partnership (SHV) (8)
Series BB Preferred Stock	(1)	(2)	Common Stock	10,508	\$ (10)	I	By Children (9)
Series C Preferred Stock	(1)	(2)	Common Stock	95,048	\$ (10)	I	By Profit Sharing Plan Trust (5)
Series C Preferred Stock	(1)	(2)	Common Stock	7,400	\$ (10)	I	By Ltd Partnership (SHAI) (6)
Series C Preferred Stock	(1)	(2)	Common Stock	18,736	\$ (10)	I	By Ltd Partnership (SHQP) (7)
Series C Preferred Stock	(1)	(2)	Common Stock	732,882	\$ (10)	I	By Ltd Partnership (SHV) (8)

# **Reporting Owners**

**Reporting Owner Name / Address** 

Relationships

Reporting Owners 2

#### Edgar Filing: CORCEPT THERAPEUTICS INC - Form 3/A

Director 10% Owner Officer Other

YOUNGER WILLIAM H JR Â ÂX 755 PAGE MILL ROAD, SUITE A-200 PALO ALTO, Â CAÂ 943041005

### **Signatures**

By: Robert Yin, by power of 01/19/2007 attorney

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- **(1)** Immediately.
- **(2)** Not applicable.
- Each preferred share is convertible without consideration into three (3) shares of common stock. (3)
- Shares held by a trust of which the reporting person is the trustee. The reporting person disclaims beneficial ownership in these shares (4)except as to the reporting person's pecuniary interest in the trust.
- Shares held by SHV Profit Sharing Plan, a retirement trust, for the benefit of the reporting person. **(5)** 
  - Shares held by Sutter Hill Entrepreneurs Fund (AI), L.P. The reporting person is a Managing Director of the General Partner of Sutter
- **(6)** Hill Entrepreneurs Fund (AI), L.P. The reporting person disclaims beneficial ownership in these shares except as to the reporting person's pecuniary interest in the partnership.
- Shares held by Sutter Hill Entrepreneurs Fund (QP), L.P. The reporting person is a Managing Director of the General Partner of Sutter Hill Entrepreneurs Fund (QP), L.P. The reporting person disclaims beneficial ownership in these shares except as to the reporting person's pecuniary interest in the partnership.
- Shares held by Sutter Hill Ventures, A California Limited Partnership. The reporting person is a Managing Director of the General Partner of Sutter Hill Ventures, A California Limited Partnership. The reporting person disclaims beneficial ownership in these shares
- **(8)** except as to the reporting person's pecuniary interest in the partnership.
- Shares are owned by the children of the reporting person. The reporting person disclaims beneficial ownership in these shares.
- (10) Each preferred share is convertible without consideration into one (1) share of common stock.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Signatures 3