

TD AMERITRADE HOLDING CORP

Form 8-K

October 26, 2011

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION

Washington, DC 20549

FORM 8-K

Current Report

Pursuant to Section 13 or 15(d) of the

Securities Exchange Act of 1934

Date of Report (Date of earliest event reported): October 19, 2011

TD Ameritrade Holding Corporation

(Exact name of registrant as specified in its charter)

Delaware
(State or other jurisdiction

of incorporation)

0-49992
(Commission

File Number)

82-0543156
(I.R.S. Employer

Identification Number)

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4211 South 102nd Street

Omaha, Nebraska

(Address of principal executive offices)

68127

(Zip Code)

Registrant's telephone number, including area code: (402) 331-7856

(Former name or former address, if changed since last report)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

- ☐ Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- ☐ Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- ☐ Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- ☐ Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Item 5.02 Departure of Directors or Certain Officers; Election of Directors; Appointment of Certain Officers; Compensatory Arrangements of Certain Officers.

Under the terms of the management incentive plan of TD Ameritrade Holding Corporation, for fiscal year 2012, the compensation of the following named executive officers was changed as described below:

The annual incentive target for John B. Bunch, executive vice president, retail distribution, was increased by \$200,000 to \$1,800,000. Mr. Bunch's annual incentive continues to be comprised of 50% cash and 50% equity.

The annual incentive target for J. Thomas Bradley, Jr., executive vice president, institutional services, was increased by \$200,000 to \$1,600,000. Mr. Bradley's annual incentive continues to be comprised of 50% cash and 50% equity.

The annual incentive target for William J. Gerber, executive vice president, chief financial officer, was increased by \$150,000 to \$1,100,000. Mr. Gerber's annual incentive continues to be comprised of 50% cash and 50% equity. Mr. Gerber's base salary was also increased by \$50,000 to \$400,000 per year.

SIGNATURE

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

TD AMERITRADE HOLDING CORPORATION

Date: October 26, 2010

By: /s/ William J. Gerber
Name: William J. Gerber
Title: Chief Financial Officer