

LAM RESEARCH CORP

Form 4/A

February 20, 2015

**FORM 4**
**UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
See Instruction  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

OMB  
Number: 3235-0287  
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2005  
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burden hours per  
response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
**Bettinger Douglas R**

(Last) (First) (Middle)

**4650 CUSHING PARKWAY**

(Street)

**FREMONT, CA 94538**

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading  
Symbol

**LAM RESEARCH CORP [LRCX]**

3. Date of Earliest Transaction  
(Month/Day/Year)

**02/11/2015**

4. If Amendment, Date Original  
Filed(Month/Day/Year)

**02/13/2015**

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

\_\_\_\_ Director \_\_\_\_ 10% Owner  
\_\_\_\_X\_\_\_\_ Officer (give title below) \_\_\_\_ Other (specify below)

**EVP, Chief Financial Officer**

6. Individual or Joint/Group Filing(Check  
Applicable Line)

\_\_\_\_X\_\_\_\_ Form filed by One Reporting Person  
\_\_\_\_ Form filed by More than One Reporting  
Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	02/11/2015		A	(A) or (D) Amount 12,406 (1)	Price \$ 0	46,124 (2)	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of  
information contained in this form are not  
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SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned  
(e.g., puts, calls, warrants, options, convertible securities)**

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount Underlying Securities (Instr. 3 and 4)			
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Option (Right to Buy)	\$ 80.6	02/11/2015		A		9,303		<u>(3)</u>	02/11/2022	Common Stock	9,303
Market-based Performance Restricted Stock Unit	\$ 0	02/11/2015		A		15,508		<u>(4)</u>	<u>(4)</u>	Common Stock	15,508

## Reporting Owners

Reporting Owner Name / Address	Relationships
	Director 10% Owner Officer Other
Bettinger Douglas R 4650 CUSHING PARKWAY FREMONT, CA 94538	EVP, Chief Financial Officer

## Signatures

Aaron Beckman by Power of Attorney 02/20/2015

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) 33.3% of the RSUs will vest on 2/11/16, 2/11/17 and 2/11/18.

The stock option exercise of 1,089 shares, and sale of common stock acquired upon such exercise, of 544 shares pursuant to a 10b5-1 Trading Plan that were reported in a Form 4 filed on February 13, 2015 (the "Form 4"), which the broker acknowledged were erroneously executed without the reporting person's involvement due to the broker's error, were undone through the broker's error account and therefore did not occur for the reporting person's account. After nullifying the erroneous transactions, and after the following Form 4 reported grants of Restricted Stock Units representing 12,406 shares, a Stock Option representing 9,303 shares, and Market-based Performance Restricted Stock Units representing 15,508 shares, the reporting person owned 46,124 shares of common stock, including shares subject to unvested Restricted Stock Units, and held Employee Stock Options subject to 66,399 shares and Market-based Performance Restricted Stock Units representing 51,731 shares.

(3) 33.3% of the stock options will vest on 2/11/16, 2/11/17 and 2/11/18.

These Market-based Performance Restricted Stock Units vest between 0% and 150% on 2/11/18. The performance of these awards is

(4) based on the Lam Research Total Shareholder Return performance relative to the Philadelphia Semiconductor Sector Index Total Shareholder Return during the performance period of 2/2/15 to 2/2/18

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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