#### LOOKABAUGH TOM

Form 4 April 14, 2011

### FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

if no longer subject to Section 16. Form 4 or

Check this box

Form 5 obligations may continue.

See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

(Last)

(City)

1. Title of

Security

(Instr. 3)

1. Name and Address of Reporting Person \*

LOOKABAUGH TOM

(First) (Middle)

(Zip)

6290 SEQUENCE DRIVE

(State)

(Month/Day/Year)

(Street)

SAN DIEGO, CA 92121

2. Issuer Name and Ticker or Trading

Symbol

**ENTROPIC COMMUNICATIONS** INC [ENTR]

3. Date of Earliest Transaction (Month/Day/Year)

04/13/2011

4. If Amendment, Date Original

Filed(Month/Day/Year)

4. Securities

2. Transaction Date 2A. Deemed 3.

> Execution Date, if TransactionAcquired (A) or Code (Month/Day/Year)

Disposed of (D) (Instr. 8)

(Instr. 3, 4 and 5)

(A)

Reported Transaction(s) (Instr. 3 and 4) Code V Amount (D) Price

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security

Conversion or Exercise

3. Transaction Date 3A. Deemed (Month/Day/Year) Execution Date, if

any

5. Number of 4. **Transaction**Derivative Securities Code

6. Date Exercisable and **Expiration Date** (Month/Day/Year)

7. Title and Amour **Underlying Securit** (Instr. 3 and 4)

1

**OMB APPROVAL** 

**OMB** 3235-0287 Number: January 31,

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response...

Expires:

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5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner X\_ Officer (give title Other (specify below)

below)

Chief Technology Officer

Applicable Line) \_X\_ Form filed by One Reporting Person

Form filed by More than One Reporting

6. Individual or Joint/Group Filing(Check

Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 5. Amount of 6. Ownership 7. Nature of

Securities Form: Direct Indirect Beneficially (D) or Indirect Beneficial Ownership Owned (I) Following

(Instr. 4) (Instr. 4)

SEC 1474

(9-02)

### Edgar Filing: LOOKABAUGH TOM - Form 4

(Instr. 3)	Price of Derivative Security		(Month/Day/Year)	(Instr. 8)	or Dispose (D) (Instr. 3, 4 and 5)	sed of				
				Code V	V (A)	(D)	Date Exercisable	Expiration Date	Title	Amo or Num of Sh
Non-Qualified Stock Option (right to buy)	\$ 7.45	04/13/2011		A	66,500		<u>(1)</u>	04/13/2021	Common Stock	66,5
Restricted Stock Unit	<u>(2)</u>	04/13/2011		A	11,400		(3)	<u>(3)</u>	Common Stock	11,4

### **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

LOOKABAUGH TOM 6290 SEQUENCE DRIVE SAN DIEGO, CA 92121

Chief Technology Officer

# **Signatures**

By: Lance W. Bridges, as attorney-in-fact For: Tom Lookabaugh

04/14/2011

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- 1/4th of the shares vest one year after the Vesting Commencement Date beginning 4/13/11. 1/48th of the shares vest monthly thereafter
- (1) over the next three years. Additionally, grant is subject to accelerated vesting on the terms and conditions set forth in such individual's Change of Control Agreement.
- (2) Each restricted stock unit represents a contingent right to receive one share of the Issuer's Common Stock.
  - The shares vest in four equal annual installments, beginning one year after the Vesting Commencement Date of April 13, 2011.
- (3) Additionally, grant is subject to accelerated vesting on the terms and conditions set forth in such individual's Change of Control Agreement.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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