ALTRIA GROUP, INC.

Form 4

January 03, 2013

## FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

2. Issuer Name and Ticker or Trading

OMB Number:

3235-0287

Expires:

January 31, 2005

0.5

Estimated average burden hours per

response...

**OMB APPROVAL** 

if no longer subject to Section 16. Form 4 or Form 5

obligations

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* FARRELL THOMAS F II

Symbol ALTRIA GROUP, INC. [MO] 5. Relationship of Reporting Person(s) to

Issuer

(Last)

(City)

1.Title of

Security

(Instr. 3)

(First)

(Street)

(State)

6601 WEST BROAD STREET

(Middle)

3. Date of Earliest Transaction

(Month/Day/Year)

12/31/2012

(Check all applicable)

X\_ Director 10% Owner Other (specify Officer (give title

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

below)

RICHMOND, VA 23230

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 2. Transaction Date 2A. Deemed (Month/Day/Year) Execution Date, if

(Zip)

4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 8) (Instr. 3, 4 and 5) 5. Amount of Securities Beneficially (D) or Owned Following

6. Ownership 7. Nature of Form: Direct Indirect Beneficial Indirect (I) Ownership (Instr. 4) (Instr. 4)

(A) or

Reported Transaction(s) (Instr. 3 and 4)

Code V Amount (D) Price

40,408 (1) D

Common Stock

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

(Month/Day/Year)

Persons who respond to the collection of SEC 1474 information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

#### Edgar Filing: ALTRIA GROUP, INC. - Form 4

1. Title of	2.	3. Transaction Date	3A. Deemed	4. 5. Number Transaction of			ber	6. Date Exer	cisable and	7. Title and Amount of		8. Price
Derivative	Conversion	(Month/Day/Year)	Execution Date, if					Expiration Date (Month/Day/Year)		Underlying Securities (Instr. 3 and 4)		Derivativ Security
Security	or Exercise		any	Code	Derivative							
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	) Sec	Securities Acquired						(Instr. 5)
	Derivative				Ac							
	Security				(A)	or						
	·				Dis	Disposed						
					of (							
						str. 3	. 4.					
					and		, .,					
				Code V	V (A	.) (	(D)	Date	Expiration	Title	Amount	
								Exercisable	Date		or	
											Number	
											of	
											Shares	
DI .												
Phantom					40	1				Common		\$ 31.1
Stock	<u>(2)</u>	12/31/2012		A	(3			<u>(4)</u>	(4)		401	(5)
Linita					(-	_				Stock		(3)

## **Reporting Owners**

Reporting Owner Name / Address

Director 10% Owner Officer Other

FARRELL THOMAS F II

6601 WEST BROAD STREET X

RICHMOND, VA 23230

#### **Signatures**

Units

W. Hildebrandt Surgner, Jr. for Thomas F. Farrell II

01/03/2013

\*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
  - Includes 37,908 deferred shares held in the Stock Compensation Plan for Non-Employee Directors. This is an increase of 491 shares,
- (1) reflecting the acquisition of shares through the reinvestment of dividends since September 28, 2012, the date of the last reportable transaction.
- (2) Phantom Stock Units convert to the cash value of the issuer's common stock on a 1-for-1 basis.
- (3) These units were acquired on December 31, 2012 pursuant to the Deferred Fee Plan for Non-Employee Directors.
- (4) The participant will receive distributions of phantom stock units in cash either prior to or following termination of service as a member of the issuer's board of directors, as elected by the participant.
- (5) The average of the high and low price of Altria Group, Inc. common stock on December 31, 2012.
- Share equivalents held in the Deferred Fee Plan for Non-Employee Directors. This total includes the December 31, 2012 acquisition of 401 share equivalents under the Deferred Fee Plan for Non-Employee Directors and 215 share equivalents acquired through the reinvestment of dividends since September 28, 2012, the date of the last reportable transaction.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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