

CERUS CORP
Form 4
October 06, 2014

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
CORASH LAURENCE M

(Last) (First) (Middle)

C/O CERUS CORPORATION, 2550 STANWELL DRIVE

(Street)

CONCORD, CA 94520

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
CERUS CORP [CERS]

3. Date of Earliest Transaction (Month/Day/Year)
10/03/2014

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner
 Officer (give title below) Other (specify below)
Chief Med & Scientific Officer

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
			Code	V	Amount (A) or Price (D)		
Common Stock	10/03/2014		M		100 A \$ 2.51	D	
Common Stock	10/03/2014		M		150 A \$ 2.98	D	
Common Stock	10/03/2014		M		9,940 A \$ 2.15	D	
Common Stock	10/03/2014		M		20,060 A \$ 2.15	D	
Common Stock	10/03/2014		M		35,000 A \$ 0.79	D	

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Common Stock 10/03/2014 M 97,500 A \$ 2.08 943,506 D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Amount or Number of Shares
Option (Right to Buy)	\$ 2.51	10/03/2014		M	100	<u>(1)</u> 11/15/2014	Common Stock	100
Option (Right to Buy)	\$ 2.98	10/03/2014		M	150	<u>(3)</u> 07/26/2019	Common Stock	150
Option (Right to Buy)	\$ 2.15	10/03/2014		M	9,940	<u>(4)</u> 09/30/2019	Common Stock	9,940
Option (Right to Buy)	\$ 2.15	10/03/2014		M	20,060	<u>(4)</u> 09/30/2019	Common Stock	20,060
Option (Right to Buy)	\$ 0.79	10/03/2014		M	35,000	<u>(5)</u> 01/11/2019	Common Stock	35,000
Option (Right to Buy)	\$ 2.08	10/03/2014		M	97,500	<u>(6)</u> 08/31/2021	Common Stock	97,500

Reporting Owners

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer Other

CORASH LAURENCE M
C/O CERUS CORPORATION
2550 STANWELL DRIVE
CONCORD, CA 94520

X

Chief Med & Scientific Officer

Signatures

Laurence M. Corash by Chrystal Menard,
attorney-in-fact

10/06/2014

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The Options vested immediately on November 16, 2004.
- (2) Not applicable.
- (3) The Options became fully vested on September 30, 2009.
- (4) One eighth (1/8th) of the shares subject to the Option vested six (6) months after October 1, 2009 and one forty-eighth (1/48th) of the shares subject to the Option vested on the first day of each month thereafter.
- (5) One fourth (1/4th) of the shares subject to the Option vested annually on each anniversary of the January 12, 2009 grant date.
- (6) One eighth (1/8th) of the shares subject to the Option vested six (6) months after November 1, 2011 and one forty-eighth (1/48th) of the shares subject to the Option vested on the first day of each month thereafter.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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