#### WMS INDUSTRIES INC /DE/

Form 4

August 08, 2011

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**OMB APPROVAL** OMB

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Check this box if no longer subject to Section 16. Form 4 or Form 5

**SECURITIES** Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,

obligations may continue. See Instruction

Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Last)

(City)

(Print or Type Responses)

1. Name and Address of Reporting Person \* SCHWEINFURTH SCOTT D

(First)

(State)

800 S. NORTHPOINT BLVD

2. Issuer Name and Ticker or Trading

Symbol

WMS INDUSTRIES INC /DE/

[WMS]

(Middle) 3. Date of Earliest Transaction

> (Month/Day/Year) 08/08/2011

(Street) 4. If Amendment, Date Original Filed(Month/Day/Year)

(Zip)

Director 10% Owner X\_ Officer (give title Other (specify below) EVP, CFO & Treasurer

6. Individual or Joint/Group Filing(Check

5. Relationship of Reporting Person(s) to

(Check all applicable)

Applicable Line)

Issuer

Demirative Counities Assumed Disposed of an Demoficially Or

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting Person

WAUKEGAN, IL 60085

| (City)     | (State)             | Table 1 - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned |                                   |               |        |          |                        |              |              |  |
|------------|---------------------|--|-----------------------------------|---------------|--------|----------|------------------------|--------------|--------------|--|
| 1.Title of | 2. Transaction Date | 2A. Deemed   | 3.                                | 4. Securi     | ties A | cquired  | 5. Amount of           | 6. Ownership | 7. Nature of |  |
| Security   | (Month/Day/Year)    | Execution Date, if   | Transaction(A) or Disposed of (D) |               |        | d of (D) | Securities             | Form: Direct | Indirect     |  |
| (Instr. 3) |                     | any  | Code (Instr. 3, 4 and 5)          |               |        | 5)       | Beneficially           | (D) or       | Beneficial   |  |
|            |                     | (Month/Day/Year)   | (Instr. 8)                        | 8)            |        |          | Owned                  | Indirect (I) | Ownership    |  |
|            |                     |  |                                   |               |        |          | Following              | (Instr. 4)   | (Instr. 4)   |  |
|            |                     |  |                                   |               | (A)    |          | Reported               |              |              |  |
|            |                     |  |                                   |               | or     |          | Transaction(s)         |              |              |  |
|            |                     |  | Code V                            | Amount        | (D)    | Price    | (Instr. 3 and 4)       |              |              |  |
| Common     | 00/00/2011          |  | ٨                                 | 7,782         |        | Φ.Ω      | 50.045 (2)             | D            |              |  |
| Stock      | 08/08/2011          |  | A                                 | (1)           | A      | \$0      | 59,945 (2)             | D            |              |  |
|            |                     |  |                                   |               |        | ф        |                        |              |              |  |
| Common     | 08/08/2011          |  | F                                 | 2,448         | D      | \$       | 57,497 (2)             | D            |              |  |
| Stock      | 00,00,2011          |  | -                                 | (3)           | _      | 18.22    | · · · · · · · <u> </u> | _            |              |  |
| Common     |                     |  |                                   | 2,700         |        | \$       |                        |              |              |  |
| Stock      | 08/08/2011          |  | P                                 | (4)           | A      | 18.22    | $60,197 \frac{(2)}{}$  | D            |              |  |
| SIUCK      |                     |  |                                   | <del>``</del> |        | 10.22    |                        |              |              |  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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### Edgar Filing: WMS INDUSTRIES INC /DE/ - Form 4

#### number.

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of | 2.          | 3. Transaction Date | 3A. Deemed         | 4.         | 5.         | 6. Date Exerc   | cisable and | 7. Title     | e and       | 8. Price of | 9. Nu  |
|-------------|-------------|---------------------|--------------------|------------|------------|-----------------|-------------|--------------|-------------|-------------|--------|
| Derivative  | Conversion  | (Month/Day/Year)    | Execution Date, if | Transacti  | onNumber   | Expiration Date |             | Amour        | nt of       | Derivative  | Deriv  |
| Security    | or Exercise |                     | any                | Code       | of         | (Month/Day/     | Year)       | Underl       | ying        | Security    | Secui  |
| (Instr. 3)  | Price of    |                     | (Month/Day/Year)   | (Instr. 8) | Derivative | e               |             | Securit      | ties        | (Instr. 5)  | Bene   |
|             | Derivative  |                     |                    |            | Securities |                 |             | (Instr.      | 3 and 4)    |             | Owne   |
|             | Security    |                     |                    |            | Acquired   |                 |             |              |             |             | Follo  |
|             |             |                     |                    |            | (A) or     |                 |             |              |             |             | Repo   |
|             |             |                     |                    |            | Disposed   |                 |             |              |             |             | Trans  |
|             |             |                     |                    |            | of (D)     |                 |             |              |             |             | (Instr |
|             |             |                     |                    |            | (Instr. 3, |                 |             |              |             |             |        |
|             |             |                     |                    |            | 4, and 5)  |                 |             |              |             |             |        |
|             |             |                     |                    |            |            |                 |             |              | Amount      |             |        |
|             |             |                     |                    |            |            |                 |             |              | Amount      |             |        |
|             |             |                     |                    |            |            | Date            | Expiration  |              | or<br>Namel |             |        |
|             |             |                     |                    |            |            | Exercisable     | Date        | Title Number |             |             |        |
|             |             |                     |                    | C 1 W      | (A) (D)    |                 |             |              | of          |             |        |
|             |             |                     |                    | Code V     | (A) (D)    |                 |             |              | Shares      |             |        |

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

SCHWEINFURTH SCOTT D 800 S. NORTHPOINT BLVD WAUKEGAN, IL 60085

EVP, CFO & Treasurer

### **Signatures**

/s/ Scott D.

Schweinfurth 08/08/2011

\*\*Signature of Reporting Person Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These shares were issued in payout of certain equity-based performance units awarded to reporting person on September 18, 2008 with a performance measurement date of June 30, 2011.
- (2) Includes 17,605 shares of restricted stock or restricted stock units and 149 shares acquired under the WMS stock purchase plan on June 30, 2011.
- (3) Represents a reduction in shares to satisfy tax withholding in connection with the payout of the equity-based performance units described in footnote 1.
- (4) The details of these trades were as follows: 400 at \$18.21 and 2,300 at \$18.22.

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