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NEW YORK MORTGAGE TRUST INC

Form 4

August 24, 2010

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB Number:

3235-0287

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OMB APPROVAL

Check this box if no longer subject to Section 16. Form 4 or Form 5

obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Last)

(City)

(Print or Type Responses)

1. Name and Address of Reporting Person * Harvest Capital Strategies LLC

(First)

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

Issuer

NEW YORK MORTGAGE TRUST

(Check all applicable)

6. Individual or Joint/Group Filing(Check

INC [NYMT] (Middle)

(Zip)

3. Date of Earliest Transaction (Month/Day/Year)

08/20/2010

Director Officer (give title below)

X 10% Owner _ Other (specify

600 MONTGOMERY STREET, SUITE 2000

> (Street) 4. If Amendment, Date Original

Applicable Line)

Filed(Month/Day/Year) _X_ Form filed by One Reporting Person Form filed by More than One Reporting

SAN FRANCISCO, CA 94111

(State)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| | | | | | | | • • | * | · · |
|--------------------------------------|--------------------------------------|-----------------------------------|--|---|---|--------------------------------------|--------------------------------------|----------------------------------|---|
| 1.Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any | 3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) | | | 5. Amount of Securities Beneficially | 6. Ownership Form: Direct | 7. Nature of Indirect Beneficial | |
| | | (Month/Day/Year) | (Instr. 8) Code V | Instr. 8) (Instr. 3, 4 and 5) Owned Following Reported Transaction((Instr. 3 and | | Following | (D) or Indirect (I) (Instr. 4) | Ownership (Instr. 4) | |
| Common Stock | 08/23/2010 | | P | 300 | A | \$ 6.2 | 54,584 | I | See Footnote 1 (1) |
| Common Stock | 08/20/2010 | | P | 2,300 | A | \$ 6.2 | 54,284 | I | See Footnote 1 (1) |
| Common Stock | | | | | | | 10,952 (2) | I | By Harvest Mortgage Opportunity Partners, L.P. |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of | 2. | 3. Transaction Date | 3A. Deemed | 4. | 5. | 6. Date Exerc | cisable and | 7. Title a | and | 8. Price of | 9. Nu |
|-------------|-------------|---------------------|--------------------|------------------|------------|-----------------|--------------------|-------------|--------|-------------|--------|
| Derivative | Conversion | (Month/Day/Year) | Execution Date, if | TransactionNumbe | | Expiration Date | | Amount | of | Derivative | Deriv |
| Security | or Exercise | | any | Code | of | (Month/Day/ | Year) | Underly | ing | Security | Secui |
| (Instr. 3) | Price of | | (Month/Day/Year) | (Instr. 8) | Derivative | e | | Securitie | es | (Instr. 5) | Bene |
| | Derivative | | | | Securities | | | (Instr. 3 | and 4) | | Own |
| | Security | | | | Acquired | | | | | | Follo |
| | · | | | | (A) or | | | | | | Repo |
| | | | | | Disposed | | | | | | Trans |
| | | | | | of (D) | | | | | | (Instr |
| | | | | | (Instr. 3, | | | | | | |
| | | | | | 4, and 5) | | | | | | |
| | | | | | | | | | | | |
| | | | | | | | | | mount | | |
| | | | | | | Date | Expiration Date | Title Numbe | | | |
| | | | | | | Exercisable | | | | | |
| | | | | | | | | of | | | |
| | | | | Code V | (A) (D) | | | S | hares | | |

Reporting Owners

Relationships

Harvest Capital Strategies LLC 600 MONTGOMERY STREET SUITE 2000 SAN FRANCISCO, CA 94111

X

Signatures

Janet L Tarkoff, Chief Legal Officer

08/24/2010

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The Reporting Person has investment control over these securities pursuant to an Investment Management Agreement with JMP (1) Securities LLC, the beneficial owner of such securities. This report shall not be an admission that the reporting person is the beneficial owner of such securities for purposes of Section 16 or any other purposes.
- (2) Harvest Mortgage Opportunity Partners, L.P. acquired 21,903 shares of common stock in the open market and subsequently, on May 27, 2008 the Issuer effected a one-for-two reverse stock split of its Common Stock.
- The Reporting Person has investment control over these securities as investment advisor to this entity, but disclaims beneficial ownership of these securities and this report shall not be an admission that the reporting person is the beneficial owner of such securities for purposes of Section 16 or any other purposes.

Reporting Owners 2

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