Edgar Filing: HAUCK WALTER S. III - Form 4

HAUCK WALTI	ER S. III										
Form 4											
December 03, 20	09										
FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION									OMB APPROVAL		
	UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549								OMB Number:	3235-0287	
Check this box if no longer subject to Section 16. Form 4 or Form 5	STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES							Expires:January 31, 2005Estimated average burden hours per response0.5			
Form 5 obligations may continue. See Instruction 1(b). Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940											
(Print or Type Respo	nses)										
1. Name and Address of Reporting Person <u>*</u> HAUCK WALTER S. III			2. Issuer Name and Ticker or Trading Symbol DUN & BRADSTREET CORP/NW				-	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
			[DNB]					(Check an applicable)			
(Last) 103 JFK PARKV	· · · · ·	(Month/Day/Year)						Director 10% Owner Officer (give title Other (specify below) below) CIO & SVP, Technology			
((Street) 4. If Amer Filed(Mon				-	ıl		6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person			
SHORT HILLS,	NJ 07078							Form filed by M Person	ore than One Rej	porting	
(City)	(State)	(Zip)	Tabl	le I - Non-D	Derivative	Secur	ities Acqu	uired, Disposed of,	or Beneficiall	y Owned	
	ransaction Date nth/Day/Year)	2A. Deem Execution any (Month/D	n Date, if	3. 4. Securities Acquired Transactior(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8) (A) or			d of (D) 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common 12/0 Stock	01/2009			Code V F	Amount 140 <u>(1)</u>		Price \$ 78.895	1,830	D		
Common Stock								138.47 <u>(2)</u>	Ι	Held in ESPP	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	Amou Unde Secur	le and unt of rlying tities . 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address	Relationships						
r o o o o o o o o o o o o o o o o o o o	Director	10% Owner	Officer	Other			
HAUCK WALTER S. III 103 JFK PARKWAY SHORT HILLS, NJ 07078			CIO & SV Technology	·			
Signatures							
/s/ Christine Cappuccia for Walter Hauck, III	12/03/2009						
**Signature of Reporting Person		Date	e				

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The reporting person made an irrevocable election in February 2009 to satisfy his tax withholding obligation relating to the vesting of shares of Common Stock previously awarded, through the deduction of shares from the vested amount.
- (2) Held in the issuer's employee stock purchase plan (ESPP) as of 11/10/09.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.