Edgar Filing: BARTZ CAROL - Form 4

BARTZ CA Form 4												
December 1											PROVAL	
FORM	A 4 UNITED	STATES	SECU	RITIE	S A	AND EX	СНА	NGE CO	OMMISSION			
Check f	his hov		Wa	shing	ton	, D.C. 20)549			Number:	3235-0287	
Section 16. Form 4 or				HANGES IN BENEFICIAL OWNERSHIP OF SECURITIES on 16(a) of the Securities Exchange Act of 1934,						Expires: January 31, 2005 Estimated average burden hours per response 0.5		
obligation may con <i>See</i> Inst 1(b).	ntinue. Section 17(ruction	· ·		•		U	-	y Act of a ct of a ct of a ct of 1940	1935 or Section)			
(Print or Type	Responses)											
BARTZ CAROL Symbol				er Name and Ticker or Trading 5. Rel Issuer					Issuer	elationship of Reporting Person(s) to er		
(Last)	(First) (Middle)	3. Date of Earliest Transaction (Check						(Check	c all applicable)		
				Day/Year) 2004					_X_ Director 10% Owner _X_ Officer (give title Other (specify below) below) Chairman, President and CEO			
	(Street)		4. If Am Filed(Mo			ate Origina ar)	ıl		6. Individual or Join Applicable Line) _X_ Form filed by Or	ne Reporting Per	rson	
SAN RAFA	AEL, CA 94903							i	Form filed by Mo Person	ore than One Rej	porting	
(City)	(State)	(Zip)	Tab	ole I - N	on-	Derivative	Secu	rities Acqu	ired, Disposed of,	or Beneficiall	y Owned	
1.Title of Security (Instr. 3)	2. Transaction Date 2A. Deemed (Month/Day/Year) Execution Date, if any (Month/Day/Year)				4. Securities Acquired (A) actionor Disposed of (D) (Instr. 3, 4 and 5) 8) (A) or V Amount (D) Price				5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock	12/13/2004			М		25,000	А	\$ 11.5625	524,942	D		
Common Stock	12/13/2004			S <u>(1)</u>		9,000	D	\$ 66.53	515,942	D		
Common Stock	12/13/2004			S <u>(1)</u>		200	D	\$ 66.58	515,742	D		
Common Stock	12/13/2004			S <u>(1)</u>		2,655	D	\$ 66.59	513,087	D		
Common Stock	12/13/2004			S <u>(1)</u>		518	D	\$ 66.61	512,169	D		

Edgar Filing: BARTZ CAROL - Form 4

Common Stock	12/13/2004	S <u>(1)</u>	950	D	\$ 66.62	511,219	D
Common Stock	12/13/2004	S <u>(1)</u>	1,200	D	\$ 66.63	510,019	D
Common Stock	12/13/2004	S <u>(1)</u>	629	D	\$ 66.64	509,390	D
Common Stock	12/13/2004	S <u>(1)</u>	800	D	\$ 66.65	508,590	D
Common Stock	12/13/2004	S <u>(1)</u>	300	D	\$ 66.66	508,290	D
Common Stock	12/13/2004	S <u>(1)</u>	450	D	\$ 66.67	507,840	D
Common Stock	12/13/2004	S <u>(1)</u>	2,002	D	\$ 66.68	505,838	D
Common Stock	12/13/2004	S <u>(1)</u>	4,039	D	\$ 66.69	501,799	D
Common Stock	12/13/2004	S <u>(1)</u>	100	D	\$ 66.7	501,699	D
Common Stock	12/13/2004	S <u>(1)</u>	1,300	D	\$ 66.73	500,399	D
Common Stock	12/13/2004	S <u>(1)</u>	457	D	\$ 66.75	499,942	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. 5. Number of TransactiorDerivative Code Securities (Instr. 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		vative rities hired (A) (sposed of r. 3, 4,	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Ar Underlying Se (Instr. 3 and 4)
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title I
Non-Qualified Stock Option	\$ 11.5625	12/13/2004		М		25,000	09/11/2001 <u>(2)</u>	09/11/2006	Common Stock

(right to buy)

Reporting Owners

Reporting Owner Name / Address	Relationships							
	Director	10% Owner	Officer	Other				
BARTZ CAROL 111 MCINNIS PARKWAY SAN RAFAEL, CA 94903	Х		Chairman, President and CEO					
Signatures								
Nancy R. Thiel, Attorney-in-fa Bartz	12/14/2004							
** Signature of Reporting Pers	Date							

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The sales reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on May 21, 2002, as amended.
- (2) The option vests over a 5-year period beginning on 09/11/1996 at the rate of 199,998 shares on the first, second and third anniversaries, and 191,352 shares on the fourth and fifth anniversaries.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.