

Edgar Filing: HAENER WILLIAM J - Form 4

HAENER WILLIAM J

Form 4

January 17, 2003

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

WASHINGTON, D.C. 20549

FORM 4

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

() Check this box if no longer subject to Section 16.

Form 4 or Form 5 obligations may continue. See Instructions 1(b).

1. Name and Address of Reporting Person

William J. Haener

Fairlane Plaza South, Suite 1100

330 Town Center Drive

MI, Dearborn 48126

2. Issuer Name and Ticker or Trading Symbol

CMS Energy Corporation (CMS)

3. IRS or Social Security Number of Reporting Person (Voluntary)

4. Statement for Month/Day/Year

1/15/2003

5. If Amendment, Date of Original (Month/Day/Year)

6. Relationship of Reporting Person(s) to Issuer (Check all applicable)

() Director () 10% Owner (X) Officer (give title below) () Other

(specify below)

Executive Vice President and COO-Gas

7. Individual or Joint/Group Filing (Check Applicable Line)

(X) Form filed by One Reporting Person

() Form filed by More than One Reporting Person

Table I -- Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security	2. Trans- action Date	2A. Exec- ution Date	3. Trans- action Code	4. Securities Acquired (A) or Disposed of (D) Amount	A/ D	Price	5. Amount of Securities Beneficially Owned Following Reported Trans(s)
Common Stock (CMS)	1/15/ 2003	1/15/ 2003	F	458	D	\$9.98	74838.767 1
Common Stock (CMS)							1591

Table II -- Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Derivative Security	2. Con- version or Exer- cise Price of Deriva- tive Secu- rity	3. Trans- action Date	3A. Deemed Execu- tion Date	4. Trans- action Code	5. Number of De rivative Secu rities Acqui red(A) or Dis posed of(D) Amount	6. Date Exer- cisable and Expiration Date(Month/ Day/Year) Date Expir- ation Date	7. Title and Amount of Underlying Securities Title and Number of Shares	8. P of vat Sec rit
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		(Year)	(Year)									
Emp Stock Options-1997											Common Stock (CMS)	
Emp Stock Options-1998											Common Stock (CMS)	
Emp Stock Options-1999											Common Stock (CMS)	
Emp Stock Options-2000											Common Stock (CMS)	
Emp Stock Options											Common Stock (CMS)	
Emp Stock Options - 2002											Common Stock (CMS)	
Emp Stock Options-2001											Common Stock (CMS)	
Employee Stock Options - 2002											Common Stock (CMS)	
Phantom Stock Units											Common Stock (CMS)	

Explanation of Responses:

1. Total includes 39,678 shares of Restricted Stock, of which 17,360 shares are nominal. (The actual number of nominal shares received will be determined by the passage of time and achievement of performance objectives under CMS Energy Corporation's Performance Incentive Stock Plan.)

SIGNATURE OF REPORTING PERSON

William J. Haener

William J. Haener (By: S.K. Smith or M.D. VanHemert, per Pwr of Attny filed with the SEC)