Edgar Filing: HEWLETT PACKARD CO - Form 4

HEWLETT PACKARD CO

Form 4

November 14, 2013

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

OMB

Washington, D.C. 20549 Check this box if no longer STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

3235-0287 Number: January 31,

OMB APPROVAL

subject to Section 16. Form 4 or Form 5

Expires: 2005 Estimated average

burden hours per response... 0.5

obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

SECURITIES

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * WHITWORTH RALPH V

2. Issuer Name and Ticker or Trading

5. Relationship of Reporting Person(s) to Issuer

Symbol

10/01/2013

HEWLETT PACKARD CO [HPQ]

(Check all applicable)

(Last)

(First) (Middle)

(Zip)

3. Date of Earliest Transaction (Month/Day/Year)

X_ Director 10% Owner Other (specify Officer (give title

C/O HEWLETT-PACKARD COMPANY, 3000 HANOVER STREET

(Street)

(State)

4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check Applicable Line)

Filed(Month/Day/Year)

X Form filed by One Reporting Person Form filed by More than One Reporting

below)

PALO ALTO, CA 94304

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 4. Securities 1. Title of 2. Transaction Date 2A. Deemed 3. 5. Amount of 6. 7. Nature of Security (Month/Day/Year) Execution Date, if TransactionAcquired (A) or Securities Ownership Indirect (Instr. 3) Code Disposed of (D) Beneficially Form: Direct Beneficial (Instr. 8) (Instr. 3, 4 and 5) Ownership (Month/Day/Year) Owned (D) or **Following** Indirect (I) (Instr. 4) Reported (Instr. 4) (A)

Transaction(s) (Instr. 3 and 4)

Code V Amount (D) Price

Common

(City)

 $7,356 \frac{(1)}{2}$ D

Stock

29,836,703

I

Through limited partnerships and accounts managed by the reporting

person (3)

Common Stock

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Edgar Filing: HEWLETT PACKARD CO - Form 4

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of on Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	Expiration Date		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Restricted Stock Units	<u>(4)</u>	07/03/2013		A	111.1689	(5)	(5)	Common Stock	111.1689

Dolotionchine

Reporting Owners

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
TWORTH RALPH V						
HEWLETT-PACKARD COMPANY	Y					

C/O HEWLETT-PACKARD (3000 HANOVER STREET PALO ALTO, CA 94304

Signatures

WHI

David Ritenour as Attorney-in-Fact for Ralph V.
Whitworth

11/14/2013

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) There is no reportable change since the last filing. This is a reiteration of holdings only.
 - This report is being filed to voluntarily report a decrease in the number of shares indirectly beneficially owned by the reporting person as a result an in-kind distribution of 1,794,600 shares on 08/16/13 and an in-kind distribution of 2,903,214 shares on 10/01/13 made by
- (2) certain affiliated entities of Relational Investors LLC ("RILLC") to certain investors in those affiliated entities. As a result of the distributions, the reporting person no longer has dispositive or voting authority, or any other beneficial interest in, 4,697,814 shares of the Issuer's common stock previously reported as being indirectly beneficially owned by him.
- Reporting person is a Principal of RILLC. RILLC is the record owner of 200 shares and sole general partner or investment manager of certain affiliated entities. These entities own a total of 29,836,503 shares. Reporting person disclaims beneficial ownership of these securities except to the extent of his pecuniary interest therein.
- (4) Each restricted stock unit represents a contingent right to receive one share of HP common stock.

Reporting Owners 2

Edgar Filing: HEWLETT PACKARD CO - Form 4

- As previously reported, on 04/22/13 the reporting person was granted 8,857 restricted stock units ("RSUs"), all of which will cliff vest on 04/22/14. Dividend equivalent rights accrue with respect to these RSUs when and as dividends are paid on HP common stock. The
- (5) 111.1689 dividend equivalent rights being reported reflect 51.0737 dividend equivalent rights at \$25.18 per RSU credited to the reporting person's account on 07/03/13, and 60.0952 dividend equivalent rights at \$21.40 per RSU credited to the reporting person's account on 10/02/13.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.