PTC THERAPEUTICS, INC.

Form 3 April 27, 2016

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION FORM 3 Washington, D.C. 20549

**OMB APPROVAL** 

**OMB** Number:

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting

Person \*

Ong Tuyen

(Last)

(First)

(Middle)

(Zip)

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

Statement

(Month/Day/Year)

04/22/2016

4. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(give title below) (specify below)

Chief Medical Officer

5. If Amendment, Date Original

Filed(Month/Day/Year)

C/O PTC THERAPEUTICS. INC., 100 CORPORATE

COURT

(Street)

(State)

Director \_X\_\_ Officer

10% Owner Other

PTC THERAPEUTICS, INC. [PTCT]

6. Individual or Joint/Group Filing(Check Applicable Line) \_X\_ Form filed by One Reporting

Person

Form filed by More than One

Reporting Person

SOUTH PLAINFIELD, NJÂ 07080

(City)

1. Title of Security

(Instr. 4)

2. Amount of Securities Beneficially Owned

(Instr. 4)

3. Ownership

Table I - Non-Derivative Securities Beneficially Owned

4. Nature of Indirect Beneficial Ownership

(Instr. 5) Form: Direct (D)

or Indirect (I) (Instr. 5)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and **Expiration Date** (Month/Day/Year)

Exercisable

3. Title and Amount of Securities Underlying Derivative Security

4. 5. Conversion or Exercise

6. Nature of Indirect Ownership Beneficial Ownership Form of (Instr. 5)

(Instr. 4)

Date

Expiration Title Amount or Number of Derivative Security

Price of

Derivative

Security:

Direct (D)

### Edgar Filing: PTC THERAPEUTICS, INC. - Form 3

			Shares		or Indirect (I) (Instr. 5)	
Stock Option (right to buy)	(1)	09/22/2024 Comm Stock	on 75,000	\$ 40.45	D	Â
Stock Option (right to buy)	(2)	01/03/2026 Comm Stock	on 37,500	\$ 30.86	D	Â

# **Reporting Owners**

Reporting Owner Name / Address	Relationships				
	Director	10% Owner	Officer	Other	
Ong Tuyen C/O PTC THERAPEUTICS, INC. 100 CORPORATE COURT SOUTH PLAINFIELD. NJ 07080	Â	Â	Chief Medical Officer	Â	

# **Signatures**

/s/ Colleen Diver Johnson, attorney-in-fact

04/27/2016

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- This option was granted on September 23, 2014 and vests over four years, with 25% of the shares underlying the option vested on (1) September 8, 2015, and an additional 6.25% of the original number of shares underlying the option vesting at the end of each successive
- (1) September 8, 2015, and an additional 6.25% of the original number of shares underlying the option vesting at the end of each successive three-month period thereafter, beginning on December 8, 2015.
- This option was granted on January 4, 2016, and vests over four years, with 25% of the shares underlying the option vesting on January 4, 2017, and an additional 6.25% of the original number of shares underlying the option vesting at the end of each successive three-month period thereafter, beginning on April 4, 2017.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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