

ABIOMED INC  
Form 4  
May 07, 2015

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
See Instruction  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person \*  
**BOLT WILLIAM J**

(Last) (First) (Middle)

**C/O ABIOMED, INC., 22 CHERRY  
HILL DRIVE**

(Street)

**DANVERS, MA 01923**

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading  
Symbol  
**ABIOMED INC [ABMD]**

3. Date of Earliest Transaction  
(Month/Day/Year)

**05/05/2015**

4. If Amendment, Date Original  
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

\_\_\_\_ Director \_\_\_\_ 10% Owner  
\_\_\_\_X\_\_\_\_ Officer (give title below) \_\_\_\_ Other (specify below)

SVP, QA and Regulatory Affairs

6. Individual or Joint/Group Filing(Check  
Applicable Line)  
\_\_\_\_X\_\_\_\_ Form filed by One Reporting Person  
\_\_\_\_ Form filed by More than One Reporting  
Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3. Transaction<br>Code<br>(Instr. 8) | 4. Securities Acquired (A)<br>or Disposed of (D)<br>(Instr. 3, 4 and 5) | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I)<br>(Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|---------------------------------------|-----------------------------------------|-------------------------------------------------------------|--------------------------------------|-------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------|-------------------------------------------------------------------|
| Common<br>Stock,<br>\$.01 par         | 05/05/2015                              |                                                             | A                                    | 10,500<br>(4)                                                           | A \$ 0 105,531                                                                                                     | D                                                                       |                                                                   |
| Common<br>Stock,<br>\$.01 par         | 05/06/2015                              |                                                             | S(5)                                 | 400 (5)                                                                 | D \$ 73.63<br>(6)                                                                                                  | 105,131                                                                 | D                                                                 |
| Common<br>Stock,<br>\$.01 par         | 05/06/2015                              |                                                             | S(5)                                 | 1,100<br>(5)                                                            | D \$ 74.19<br>(7)                                                                                                  | 104,031                                                                 | D                                                                 |
| Common<br>Stock,                      | 05/06/2015                              |                                                             | S(5)                                 | 250 (5)                                                                 | D \$ 103,781<br>75.634                                                                                             | D                                                                       |                                                                   |

\$.01 par

(8)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transaction<br>Code<br>(Instr. 8) | 5.<br>Number<br>of<br>Derivative<br>Securities<br>Acquired<br>(A) or<br>Disposed<br>of (D)<br>(Instr. 3,<br>4, and 5) | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) |                    | 7. Title and Amount of<br>Underlying Securities<br>(Instr. 3 and 4) |  | 8. Amount<br>or<br>Number<br>of<br>Shares |
|-----------------------------------------------------|-----------------------------------------------------------------------|-----------------------------------------|-------------------------------------------------------------|-----------------------------------------|-----------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------|--------------------|---------------------------------------------------------------------|--|-------------------------------------------|
|                                                     |                                                                       |                                         |                                                             | Code                                    | V (A) (D)                                                                                                             | Date Exercisable                                               | Expiration<br>Date | Title                                                               |  |                                           |
| Stock<br>option<br>(right to<br>buy) <u>(2)</u>     | \$ 13.8                                                               |                                         |                                                             |                                         |                                                                                                                       | 05/23/2009 <sup>(1)</sup>                                      | 05/23/2018         | Common<br>Stock                                                     |  | 0                                         |
| Stock<br>Option<br>(right to<br>buy) <u>(2)</u>     | \$ 10.03                                                              |                                         |                                                             |                                         |                                                                                                                       | 06/03/2011 <sup>(1)</sup>                                      | 06/03/2020         | Common<br>Stock                                                     |  | 0                                         |
| Stock<br>Option<br>(right to<br>buy) <u>(2)</u>     | \$ 22.44                                                              |                                         |                                                             |                                         |                                                                                                                       | 05/22/2013 <sup>(1)</sup>                                      | 05/22/2022         | Common<br>Stock                                                     |  | 0                                         |
| Stock<br>Option<br>(Right to<br>Buy) <u>(2)</u>     | \$ 23.15                                                              |                                         |                                                             |                                         |                                                                                                                       | 05/14/2014 <sup>(1)</sup>                                      | 05/14/2023         | Common<br>stock                                                     |  | 0                                         |
| Stock<br>Option<br>(Right to<br>Buy) <u>(2)</u>     | \$ 21.55                                                              |                                         |                                                             |                                         |                                                                                                                       | 05/14/2015 <sup>(3)</sup>                                      | 05/14/2024         | Common<br>Stock                                                     |  | 0                                         |

## Reporting Owners

| Reporting Owner Name / Address                                                   | Relationships |           |                                |       |
|----------------------------------------------------------------------------------|---------------|-----------|--------------------------------|-------|
|                                                                                  | Director      | 10% Owner | Officer                        | Other |
| BOLT WILLIAM J<br>C/O ABIOMED, INC.<br>22 CHERRY HILL DRIVE<br>DANVERS, MA 01923 |               |           | SVP, QA and Regulatory Affairs |       |

## Signatures

/s/ Stephen C. McEvoy (by power of attorney) 05/07/2015

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) These options become exercisable in annual 25% increments, commencing on the date shown in Table II, Column 6.
- (2) Grant to reporting person of this option to buy the number of Common Stock set forth in Table II, Column 7, under the ABIOMED, Inc. 2008 Stock Incentive Plan.

- (3) These options become exercisable in annual 33-1/3% increments, commencing on the date shown in Table II, Column 6.

- (4) Represents shares of common stock underlying performance-based awards of restricted stock units granted to this reporting person on May 14, 2014. One third of these restricted stock units vested on May 5, 2015 (into an equal number of shares of common stock) upon the issuer's achievement of a certain performance milestone with the remaining vesting on the first and second anniversary of the date of the grant.

- (5) Sale of common stock pursuant to reporting owner's 10b5-1 plan, including for payment of withholding tax liability associated with the vesting of performance-based awards of restricted stock units.

- (6) This price represents the weighted average sale price of multiple transactions on the reported date at prices that ranged between \$73.3500 and \$73.8600. Detailed information regarding the number of shares sold at each separate price will be provided upon request by the Commission staff, the Issuer, or a security holder of the Issuer.

- (7) This price represents the weighted average sale price of multiple transactions on the reported date at prices that ranged between \$74.0500 and \$74.7100. Detailed information regarding the number of shares sold at each separate price will be provided upon request by the Commission staff, the Issuer, or a security holder of the Issuer.

- (8) This price represents the weighted average sale price of multiple transactions on the reported date at prices that ranged between \$75.2000 and \$75.9700. Detailed information regarding the number of shares sold at each separate price will be provided upon request by the Commission staff, the Issuer, or a security holder of the Issuer.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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