## Edgar Filing: Nath Prem - Form 4

Nath Prem

Form 4												
June 05, 2009										PPROVAL		
FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION										PPROVAL		
Washington, D.C. 20549								Number:	3235-0287			
Check thi									Expires:	January 31,		
if no long subject to Section 10 Form 4 or	<b>51ATEM</b> 6.	STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES							Estimated a burden hou response	•		
Form 5 obligations may continue. See Instruction 1(b). Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940												
(Print or Type R	lesponses)											
1. Name and Address of Reporting Person <u>*</u> Nath Prem			2. Issuer Symbol	2. Issuer Name <b>and</b> Ticker or Trading Symbol					5. Relationship of Reporting Person(s) to Issuer			
A [/				Solar Tech	nologie	s, Inc	•	(Check all applicable)				
(Last)	(First) (M	liddle)	3. Date of (Month/D	Earliest Tra ay/Year)	ansaction			Director X Officer (give	e title Oth	Owner er (specify		
ASCENT SO TECHNOLO SHAFFER F	DGIES, INC., 812	20	06/04/20	)09				below) Sr Vice Pr	below) res of Manufact	uring		
	(Street)		4 If Ame	ndment, Dat	e Original			6 Individual or Id	oint/Group Filir	og(Check		
				th/Day/Year)	-			6. Individual or Joint/Group Filing(Check Applicable Line)				
LITTLETON	N, CO 80127			•				_X_ Form filed by (	One Reporting Pe More than One Re			
(City)	(State)	(Zip)	Table	e I - Non-D	erivative S	Securi	ties Acc	uired, Disposed of	f, or Beneficial	ly Owned		
1.Title of Security (Instr. 3)2. Transaction Date (Month/Day/Year)2A. Deemed Execution Date any (Month/Day/Year)		on Date, if	Date, if Transaction(A) or Disposed of Code (D)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)					
				Code V	Amount	or (D)	Price	(Instr. 3 and 4)				
Common Stock	06/04/2009			S	4,000	D	\$ 6.25	0 (1)	D			

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	3. Transaction Date (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. 6. Date Exercises on Number Expiration Date of (Month/Day/Ye Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3,		ate	7. Title Amou Under Securi (Instr.	nt of lying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owne Follo Repo Trans (Instr
		Code V	4, and 5) (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

## **Reporting Owners**

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
Nath Prem ASCENT SOLAR TECHNOLOGIES, INC. 8120 SHAFFER PARKWAY LITTLETON, CO 80127			Sr Vice Pres of Manufacturing				
Signatures							
Mark A. von Bergen, as attorney-in-fact for P Nath	rem	06/05/2009					
<u>**</u> Signature of Reporting Person		Dat	te				

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Dr. Nath holds the following derivative securities: vested options (or options that will vest within 60 days) to purchase 66,667 shares of common stock that expire on July 31, 2016; vested options to purchase 6,000 shares of common stock that expire on December 3, 2017;

(1) vested options to purchase 25,000 shares of common stock that expire on December 2, 2018; and unvested options to purchase 6,000 shares of common stock that expire on December 3, 2017; and unvested options to purchase 75,000 shares of common stock that expire on December 2, 2018.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.