Edgar Filing: Sharan Kim Michelle - Form 4

Sharan Kim M	Michelle										
Form 4 October 05, 2	2007										
								r	OMB APPROVAL		
UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549							OMB Number:	3235-0287			
Check this box if no longer				~~~~~		Expires:	January 31, 2005				
subject to Section 16 Form 4 or Form 5		SECURI	ITIES		NERSHIP OF	Estimated a burden hou response	average Irs per				
obligation may conti <i>See</i> Instru 1(b).	s Section 1	7(a) of the		ility Hold	ing Com	pany	Act o	ge Act of 1934, f 1935 or Sectio 40	n		
(Print or Type R	esponses)										
1. Name and Address of Reporting Person <u>*</u> Sharan Kim Michelle			2. Issuer Name and Ticker or Trading Symbol AMERIPRISE FINANCIAL INC [AMP]					5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
(Last)	(First)	(Middle)	3. Date of Earliest Transaction Director					10% Owner			
	COUNSEL'S 98 AMERIPRI 2 CENTER	ISE	(Month/Da 10/03/20					X Officer (give below) Exec VP &	e title Oth below) & Chief Mktg (er (specify Officer	
				. If Amendment, Date Original				6. Individual or Joint/Group Filing(Check			
_X_Fo					Form filed by M	One Reporting Person More than One Reporting					
(City)	(State)	(Zip)	Table	e I - Non-Do	erivative S	Securi	ties Ac	quired, Disposed o	f, or Beneficial	lly Owned	
1.Title of Security (Instr. 3)2. Transaction Date (Month/Day/Year)2A. Dee Execution any (Month/				4. Securi onAcquirec Disposec (Instr. 3,	l (A) c l of (D)	Securities Beneficially Owned	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)			
				Code V	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)			
Common Stock	10/03/2007			F	1,094	D	\$ 64	14,318	D		
Common Stock								108.05	Ι	By 401(k) Plan (1)	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		Date	Amou Unde Secur	le and int of rlying ities . 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address		Relationships						
	Director 10%	Owner	Officer	Other				
Sharan Kim Michelle GENERAL COUNSEL'S OFFICE 1098 AMERIPRISE FINANCIAL CENTEI MINNEAPOLIS, MN 55474	R		Exec VP & Chief Mktg Officer					
Signatures								
/s/ Thomas R. Moore for Kim Michelle Sharan	10/05/2007							
<u>**</u> Signature of Reporting Person	Date							
GENERAL COUNSEL'S OFFICE 1098 AMERIPRISE FINANCIAL CENTEI MINNEAPOLIS, MN 55474 Signatures /s/ Thomas R. Moore for Kim Michelle Sharan	R 10/05/2007		Exec VP & Chief Mktg	Culo				

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Estimate of the number of shares held in the reporting person's account in the Ameriprise Financial Stock Fund under the Ameriprise(1) Financial 401(k) Plan as of October 3, 2007. This plan uses unit accounting and the number of shares that a participant is deemed to hold varies with the price of Ameriprise stock.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.