

Edgar Filing: VFINANCE INC - Form 8-K

VFINANCE INC  
Form 8-K  
November 16, 2004

SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549  
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FORM 8-K  
CURRENT REPORT

PURSUANT TO SECTION 13 OR 15(d) OF THE  
SECURITIES EXCHANGE ACT OF 1934

November 15, 2004  
(Date of earliest event reported)

vFINANCE, INC.  
(Exact name of Registrant as specified in its charter)

|   |                                     |  |
|---|-------------------------------------|--|
| Delaware<br>(State of incorporation or<br>organization) | 1-11454-03<br>(Commission File No.) | 58-1974423<br>(IRS Employer<br>Identification No.) |
|---|-------------------------------------|--|

3010 North Military Trail  
Suite 300  
Boca Raton, Florida 33431  
(Address of principal executive offices)

(561) 981-1000  
(Registrant's telephone number,  
including area code)

Item 2.02. Results of Operations and Financial Condition

On November 15, 2004, vFinance, Inc. issued a press release announcing its results of operations for the three and nine months ended September 30, 2004. A copy of the press release is attached as Exhibit 99 to this report. This information is not deemed to be "filed" for the purposes of Section 18 of the Securities Exchange Act of 1934 and is not incorporated by reference into any Securities Act registration statements.

Item 9.01. Financial Statements and Exhibits.

(c) Exhibits.

| Exhibit No. | Title   |
|-------------|---|
| -----<br>99 | -----<br>Press release issued by vFinance, Inc. on November 15, 2004. |

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SIGNATURE

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

vFINANCE, INC.

By: /s/ Leonard J. Sokolow  
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Name: Leonard J. Sokolow  
Title: Chief Executive Officer

Date: November 15, 2004

EXHIBIT INDEX

| Exhibit | Description                           |
|---------|---------------------------------------|
| 99      | Press Release dated November 15, 2004 |