

INTERCEPT PHARMACEUTICALS INC
Form 4
October 03, 2014

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2015
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Pruzanski Mark

2. Issuer Name and Ticker or Trading Symbol
INTERCEPT
PHARMACEUTICALS INC [ICPT]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction (Month/Day/Year)
10/01/2014

Director 10% Owner
 Officer (give title below) Other (specify below)
CEO & President

C/O INTERCEPT
PHARMACEUTICALS, INC., 450
W. 15TH STREET, SUITE 505

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

NEW YORK, NY 10011

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount or Price		
Common Stock	10/01/2014		M		4,867 ⁽²⁾	A	\$ 479,909
Common Stock	10/01/2014		M ⁽³⁾		10,000	A	\$ 8.6667 489,909
Common Stock	10/01/2014		S ⁽³⁾		1,400	D	\$ 226.5337 ⁽⁵⁾ 488,509
Common Stock	10/01/2014		S ⁽³⁾		1,450	D	\$ 227.6431 487,059

Edgar Filing: INTERCEPT PHARMACEUTICALS INC - Form 4

					(6)		
Common Stock	10/01/2014	S ⁽³⁾	3,500	D	\$ 228.451 (7)	483,559	D
Common Stock	10/01/2014	S ⁽³⁾	1,750	D	\$ 229.298 (8)	481,809	D
Common Stock	10/01/2014	S ⁽³⁾	600	D	\$ 230.3017 (9)	481,209	D
Common Stock	10/01/2014	S ⁽³⁾	700	D	\$ 231.3143 (10)	480,509	D
Common Stock	10/01/2014	S ⁽³⁾	300	D	\$ 232.15 (11)	480,209	D
Common Stock	10/01/2014	S ⁽³⁾	100	D	\$ 233.74	480,109	D
Common Stock	10/01/2014	S ⁽³⁾	200	D	\$ 234.9363 (12)	479,909	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)		
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Restricted Stock Units	(1)	10/01/2014		M	4,867	(2)	(2)	Common Stock	4,867
Options to Purchase Common Stock	\$ 8.6667	10/01/2014		M ⁽³⁾	10,000	(4)	07/20/2020	Common Stock	10,000

Edgar Filing: INTERCEPT PHARMACEUTICALS INC - Form 4

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.
Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.