Edgar Filing: ASTEC INDUSTRIES INC - Form 4

ASTEC INDUS	TRIES INC											
Form 4 August 31, 2016												
FORM 4										PPROVAL		
	Washington, D.C. 20549						OMB Number:	3235-0287				
Check this bo if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).	uant to S) of the I	 F CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Section 16(a) of the Securities Exchange Act of 1934, Public Utility Holding Company Act of 1935 or Section of the Investment Company Act of 1940 						Expires: January 31 2005 Estimated average burden hours per response 0.5				
(Print or Type Respo	onses)											
1. Name and Address of Reporting Person <u>*</u> PATEK RICHARD A			2. Issuer Name and Ticker or Trading Symbol ASTEC INDUSTRIES INC [ASTE]				5. Relationship of Reporting Person(s) to Issuer					
(Last)	(First) (Mi	ddle)	3. Date of Earliest Transaction				1.5]	(Check all applicable)				
1725 SHEPHERD RD			(Month/Day/Year) 08/30/2016					Director 10% Owner Officer (give title below) Other (specify below) Group Pres Aggregate & Mining				
(Street) CHATTANOOGA, TN 37421			4. If Amendment, Date Original Filed(Month/Day/Year)				 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting 					
CHAITANOO	GA, IN 3/421							Person		1 0		
(City)	(State) (Z	Zip)	Table	e I - Non-De	erivative S	ecuriti	es Aco	quired, Disposed o	f, or Beneficial	lly Owned		
			Execution Date, if any		3.4. SecuritiesTransactionAcquired (A) orCodeDisposed of (D)(Instr. 8)(Instr. 3, 4 and 5)					p 7. Nature of Indirect Beneficial Ownership (Instr. 4)		
				Code V	Amount	or (D)	Price	(Instr. 3 and 4)				
Common Stock								965.223 <u>(3)</u>	Ι	by 401(k) Plan		
Common Stock								1,763 <u>(2)</u>	D			
Common Stock								3,800	D			

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	orDerivative		Expiration Date		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Phantom Stock (1)	<u>(1)</u>	08/30/2016		А	19.7651 (1)		<u>(1)</u>	<u>(1)</u>	Common Stock	19.7651 (1)

Reporting Owners

Reporting Owner Name / Address	Relationships							
	Director	10% Owner	Officer	Other				
PATEK RICHARD A 1725 SHEPHERD RD CHATTANOOGA, TN 37421			Group Pres Aggregate & Mining					
Signatures								
Robert Taylor, attorney in fact for Patek	08/31/2016							
<u>**</u> Signature of Reporting Perso	'n	Date						

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Transaction represents the automatic reinvestment of Astec's quarterly dividend on shares held by the reporting person's SERP account to
 purchase additional shares of Astec stock on the open market as approved by the Company's Board of Directors. Phantom shares are held in a SERP and are payable in cash following the reporting person's termination of employment from Astec.

- (2) Holdings are restricted stock units (RSUs) that convert to common stock on a one-for-one basis at a later date.
- (3) Shares held in the reporting person's 401k account are based upon a Plan statement dated August 30, 2016.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.