

Emergent BioSolutions Inc.
Form 4
April 05, 2016

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
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(Print or Type Responses)

1. Name and Address of Reporting Person *
Kramer Robert

(Last) (First) (Middle)

400 PROFESSIONAL DR, SUITE
400

(Street)

GAITHERSBURG, MD 20879

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol
Emergent BioSolutions Inc. [EBS]

3. Date of Earliest Transaction
(Month/Day/Year)

04/04/2016

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

____ Director ____ 10% Owner
☒ Officer (give title below) ____ Other (specify
below)

EVP, Corporate Services Divisi

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
____ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
Common Stock <u>(1)</u>	04/04/2016		M	5,766 A	\$ 14.41 80,597	D	
Common Stock <u>(1)</u> <u>(5)</u>	04/04/2016		S	5,766 D	\$ 36.9 74,831	D	
Common Stock <u>(2)</u>	04/04/2016		M	1,970 A	\$ 14.67 76,801	D	
Common Stock <u>(2)</u> <u>(5)</u>	04/04/2016		S	1,970 D	\$ 36.9 74,831	D	
Common Stock <u>(3)</u>	04/04/2016		M	8,677 A	\$ 28.09 83,508	D	

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Common Stock <u>(3)</u> <u>(5)</u>	04/04/2016	S	8,677	D	\$ 36.9	74,831	D
Common Stock <u>(4)</u>	04/04/2016	M	2,752	A	\$ 29	77,583	D
Common Stock <u>(4)</u> <u>(5)</u>	04/04/2016	S	2,752	D	\$ 36.9	74,831	D
Common Stock <u>(5)</u> <u>(6)</u>	04/04/2016	S	2,623	D	\$ 36.9	72,208	D
Common Stock <u>(6)</u>	03/11/2016	F	422	D	\$ 34.22	71,786	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Derivative Securities (Instr. 3 and 4)	
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option <u>(1)</u>	\$ 14.41	04/04/2016		M		5,766		<u>(7)</u>	11/04/2019	Common Stock	5,766
Stock Option <u>(2)</u>	\$ 14.67	04/04/2016		M		1,970		<u>(8)</u>	03/11/2020	Common Stock	1,970
Stock Option <u>(3)</u>	\$ 28.09	04/04/2016		M		8,677		<u>(9)</u>	03/10/2021	Common Stock	8,677
Stock Option <u>(4)</u>	\$ 29	04/04/2016		M		2,752		<u>(10)</u>	03/09/2022	Common Stock	2,752

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Kramer Robert 400 PROFESSIONAL DR, SUITE 400 GAITHERSBURG, MD 20879			EVP, Corporate Services Divisi	

Signatures

/s/ Eric Burt,
attorney-in-fact

04/05/2016

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Consists of an option granted under the company's stock incentive plan on November 5, 2012.
- (2) Consists of an option granted under the company's stock incentive plan on March 12, 2013.
- (3) Consists of an option granted under the company's stock incentive plan on March 11, 2014.
- (4) Consists of an option granted under the company's stock incentive plan on March 10, 2015.
- (5) The sales reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by Mr. Kramer.
- (6) Consists of restricted stock units granted under the Amended and Restated Emergent BioSolutions Inc. 2006 Stock Incentive Plan, as amended.
- (7) The option vested in three equal installments in November 2013, 2014 and 2015.
- (8) The option vest in three equal installments in March 2014, 2015 and 2016.
- (9) The option vest in three equal installments in March 2015, 2016 and 2017.
- (10) The option vest in three equal installments in March 2016, 2017 and 2018.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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