Edgar Filing: COMFORT SYSTEMS USA INC - Form 144

UNITED STATES

COMFORT SYSTEMS USA INC

Form 144

March 10, 2016

UNITED STATES							APPROVAL		
SECURITIES AND EXCHANGE COMMISSION							OMB Number:	3235-0101	
Washington, D.C. 20549							Expires:	May 31, 2017	
							Estimated average burden		
FORM 144								hours per response	1.00
	NOTICE OF			CURITIES	S			SEC USE ONLY	
NOTICE OF PROPOSED SALE OF SECURITIES PURSUANT TO RULE 144 UNDER THE SECURITIES ACT OF 1933							DOCUMENT SEQUENCE NO.		
								CUSIP NUMBER	
	ransmit for filing 3 er to execute sale o			•		_			
1 (a) NAME OF ISSUER (Please type or print)			` '	(b) IRS (c) S.E.C. FILE NO IDENT. NO.		O	WORK LOCATION		
Comfort Systems	USA Inc.		76-052	26487	1-13011				
1 (d) ADDRESS OF ISSUER	STREET		CITY		STATE	ZIP C		(e) TELE NO	EPHONE
	675 Bering Dri	ve, Suite 400	Houst	on	TX	77055		7138309	600
2 (a) NAME OF F FOR WHOSE AC THE SECURITIE TO BE SOLD	CCOUNT	(b) RELATIONSI TO ISSUER	` '	DRESS S	STREET	CITY		STATE	ZIP CODE
William George		CFO	675 B 400	ering Driv	ve, Suite	Housto	on	TX	77055
INSTRUCTION: The person filing this notice should contact the issuer to obtain the I.R.S. Identification Number and the S.E.C. File Number.									
3 (a) (b)		SEC USE ONLY	(c)	(d)	(e)	((f)	(§	g)
Title of the			Number of Shares		Num ate Shar	iber of	Appro	ximate	Name of Each
	ne and Address of In Broker Through	Broker-Dealer	or Other Units	Marke	et or O Unit		Date o	f Sale S	Securities

OMB

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Whom the

Securities	Securities are to be	File Number	To Be	Value	Outstanding	(See instr.	Exchange
To Be Sold	Offered or Each		Sold			3(f))	
	Market Maker						
	who is Acquiring the		(See instr.	(See instr.	(See instr.	(MO. DAY	(See instr.
	Securities		3(c))	3(d))	3(e))	YR.)	3(g))
	Deutsche Bank						
	Securities						
Common	700 Louisiana, Suite		39287	1,187,880	37324000	3/9/2016	NYSE
	2400						
	Houston, TX 77002						

INSTRUCTIONS:

- 1. (a) Name of issuer
 - (b) Issuer's I.R.S. Identification Number
 - (c) Issuer's S.E.C. file number, if any
 - (d) Issuer's address, including zip code
 - (e) Issuer's telephone number, including area code
- 2. (a) Name of person for whose account the securities are to be sold
 - (b) Such person's relationship to the issuer (e.g., officer, director, 10% stockholder, or member of immediate family of any of the foregoing)
 - (c) Such person's address, including zip code

- 3. (a) Title of the class of securities to be sold
 - (b) Name and address of each broker through whom the securities are intended to be sold
 - (c) Number of shares or other units to be sold (if debt securities, give the aggregate face amount)
 - (d) Aggregate market value of the securities to be sold as of a specified date within 10 days prior to filing of this notice
 - (e) Number of shares or other units of the class outstanding, or if debt securities the face amount thereof outstanding, as shown by the most recent report or statement published by the issuer
 - (f) Approximate date on which the securities are to be sold
 - (g) Name of each securities exchange, if any, on which the securities are intended to be sold

Potential persons who are to respond to the collection of information contained in this form are SEC 1147 not required to respond unless the form displays a currently valid OMB control number. (08-07)

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TABLE I — SECURITIES TO BE SOLD

Furnish the following information with respect to the acquisition of the securities to be sold and with respect to the payment of all or any part of the purchase price or other consideration therefor:

Title of the Class	Date you Acquired	Nature of Acquisition Transaction	Name of Person from Whom Acquired (If gift, also give date donor acquired)	Amount of Securities Acquired	Date of Payment	Nature of Payment
Common	3/9/2016	Employee Stock Option - Cashless Option Exercise	Comfort Systems USA	15,120	3/9/2016	Cashless Stock Option Exercise
Common	3/9/2016	employee stock option - cashless option exercise	Comfort Systems USA	6124	3/9/2016	cash stock option exercise
Common	3/28/2007	Stock Grant	Comfort Systems USA	2697	3/28/2007	Grant
Common	3/26/2009	Stock Grant	Comfort Systems USA	3873	3/26/2009	Grant
Common	3/24/2011	Stock Grant	Comfort Systems USA	5565	3/24/2011	Grant
Common	3/26/2012	Stock Grant	Comfort Systems USA	3266	3/26/2012	Grant
Common	3/26/2012	Stock Grant	Comfort Systems USA	2642	3/26/2012	Grant

INSTRUCTIONS: If the securities were purchased and full payment therefor was not made in cash at the time of purchase, explain in the table or in a note thereto the nature of the consideration given. If the consideration consisted of any note or other obligation, or if payment was made in installments describe the arrangement and state when the note or other obligation was discharged in full or the last installment paid.

TABLE II — SECURITIES SOLD DURING THE PAST 3 MONTHS

Furnish the following information as to all securities of the issuer sold during the past 3 months by the person for whose account the securities are to be sold.

		Amount of		
		Date of	Securities	
Name and Address of Seller	Title of Securities Sold	Sale	Sold	Gross Proceeds

EXPLANATION OF RESPONSES:

REMARKS:

INSTRUCTIONS:

See the definition of "person" in paragraph (a) of Rule 144. The person for whose account the securities to which this Information is to be given not only as to the person for whose account the securities are to be sold but also as to all other persons included in that definition. In addition, information shall be given as to sales by all persons whose sales are required by paragraph (e) of Rule 144 to be aggregated with sales for the account of the person filing this notice.

March 09, 2016 DATE OF NOTICE

ATTENTION: Intentional

DATE OF PLAN ADOPTION OR GIVING OF INSTRUCTION, IF **RELYING ON RULE 10B5-1**

SEC 1147 (02-08)

misstatements or omission of facts constitute

Federal Criminal Violations (See 18 U.S.C. 1001)

ATTENTION:

notice relates are to be sold hereby represents by signing this notice that he does not know any material adverse information in regard to the current and prospective operations of the Issuer of the securities to be sold which has not been publicly disclosed. If such person has adopted a written trading plan or given trading instructions to satisfy Rule 10b5-1 under the Exchange Act, by signing the form and indicating the date that the plan was adopted or the instruction given, that person makes such representation as of the plan adoption or instruction date.

/s/ William George

(SIGNATURE)

The notice shall be signed by the person for whose account the securities are to be sold. At least one copy of the notice shall be manually signed. Any copies not manually signed shall bear typed or printed signatures.

REMARKS: