### Edgar Filing: Pfeiffer James F - Form 4

Pfeiffer James	s F									
Form 4	000									
October 13, 2										
FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549									APPROVAL 3235-0287	
Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b). Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction						Expires:January 31Expires:2005Estimated averageburden hours perresponse0.5				
(Print or Type R	esponses)									
1. Name and Address of Reporting Person <u>*</u> Pfeiffer James F			2. Issuer Name <b>and</b> Ticker or Trading Symbol ASTEC INDUSTRIES INC [ASTE]				5. Relationship of Reporting Person(s) to Issuer			
(Last)	(First)	(Middle)	3. Date of	Earliest Tra	insaction		(Check all applicable)			
1725 SHEPHERD RD			<ul> <li>(Month/Day/Year)</li> <li>10/12/2009</li> <li>4. If Amendment, Date Original Filed(Month/Day/Year)</li> </ul>				Director 10% Owner X Officer (give title Other (specify below) President-American Augers 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting			
CHATTANO	DOGA, TN 374	421					Person	More than One R	eporting	
(City)	(State)	(Zip)	Table	e I - Non-Do	erivative S	ecurities Ac	quired, Disposed o	of, or Beneficia	lly Owned	
1.Title of Security (Instr. 3)	2. Transaction E (Month/Day/Yea	ar) Executi any	emed	3. Transactic Code	4. Securit onAcquired Disposed	ies (A) or of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of	
Common				Code V	Amount	(D) Price	(Instr. 3 and 4) $5,000 \frac{(2)}{2}$	D		
Stock							<u>,,,,,,,</u>	2		
Common Stock							500	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and A Underlying S (Instr. 3 and -	ecurities
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Phantom Stock	<u>(1)</u>	10/12/2009		А	154.5693		(1)	(1)	Common Stock	154.5693

## **Reporting Owners**

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
Pfeiffer James F 1725 SHEPHERD RD CHATTANOOGA, TN 37421			President-American Augers				
Signatures							
Robert Taylor, attorney in fact Pfeiffer	for James	5	10/13/2009				
<u>**</u> Signature of Reporting Per-	son		Date				

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Reported transaction is a quarterly contribution to the reporting person's SERP acccount that was used to purchase Astec stock. Phantom shares are held in a SERP and are payable in cash following the reporting person's termination of employment from Astec.
- (2) Reported holding is Restricted Stock Units that convert into common stock on a one-for-one basis at a later date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.