

SYNCHRONOSS TECHNOLOGIES INC

Form 4

January 13, 2017

**FORM 4**
**UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
*See Instruction*  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

OMB  
Number: 3235-0287  
Expires: January 31,  
2005  
Estimated average  
burden hours per  
response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Garcia Robert

2. Issuer Name **and** Ticker or Trading  
Symbol  
SYNCHRONOSS  
TECHNOLOGIES INC [SNCR]

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

(Last) (First) (Middle)  
200 CROSSING BOULEVARD  
(Street)

3. Date of Earliest Transaction  
(Month/Day/Year)  
01/11/2017

\_\_\_\_ Director \_\_\_\_ 10% Owner  
\_\_\_\_X\_\_\_\_ Officer (give title below) \_\_\_\_ Other (specify below)  
Executive Vice Pres.

BRIDGEWATER, NJ 08807

4. If Amendment, Date Original  
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check  
Applicable Line)  
\_\_\_\_X\_\_\_\_ Form filed by One Reporting Person  
\_\_\_\_ Form filed by More than One Reporting  
Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
Common Stock	01/11/2017		M	60 A	\$ 30.11 99,837	D	
Common Stock	01/11/2017		M	6,310 A	\$ 30.5 106,147	D	
Common Stock	01/11/2017		M	1,070 A	\$ 31.02 107,217	D	
Common Stock	01/11/2017		S <sup>(1)</sup>	7,440 D	\$ 39.04 99,777	D	
Common Stock	01/11/2017		S <sup>(1)</sup>	1,696 D	\$ 38.99 98,081	D	

# Edgar Filing: SYNCHRONOSS TECHNOLOGIES INC - Form 4

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)			
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option (Right to Purchase)	\$ 30.11	01/11/2017		M		60		01/03/2013 <sup>(2)</sup>	01/03/2019	Common Stock	60
Stock Option (Right to Purchase)	\$ 30.5	01/11/2017		M		6,310		12/06/2012 <sup>(3)</sup>	12/06/2018	Common Stock	6,310
Stock Option (Right to Buy)	\$ 31.02	01/11/2017		M		1,070		02/14/2014 <sup>(4)</sup>	02/14/2020	Common Stock	1,070

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Garcia Robert 200 CROSSING BOULEVARD BRIDGEWATER, NJ 08807			Executive Vice Pres.	

## Signatures

/s/ Robert  
Garcia 01/13/2017

\*\*Signature of  
Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) All of the sales reported on this Form were effected pursuant to an approved Rule 10b5-1 trading plan

The option shall become exercisable with respect to the first 25 percent of the shares subject to the option when the Reporting Person

(2) completes 12 months of continuous service after January 3, 2012. The option shall become exercisable with respect to an additional 1/48th of the shares subject to the option when the Reporting Person completes each month of continuous service thereafter.

The option shall become exercisable with respect to the first 25 percent of the shares subject to the option when the Reporting Person

(3) completes 12 months of continuous service after December 6, 2011. The option shall become exercisable with respect to an additional 1/48th of the shares subject to the option when the Reporting Person completes each month of continuous service thereafter.

The option shall become exercisable with respect to the first 25 percent of the shares subject to the option when the Reporting Person

(4) completes 12 months of continuous service after February 14, 2013. The option shall become exercisable with respect to an additional 1/48th of the shares subject to the option when the Reporting Person completes each month of continuous service thereafter.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.