Bushman Julie L Form 4 February 11, 2019

## FORM 4

Form 4 or

obligations

may continue.

See Instruction

Form 5

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer subject to Section 16.

Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading Bushman Julie L Issuer Symbol 3M CO [MMM] (Check all applicable) (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) Director 10% Owner Other (specify X\_ Officer (give title 3M CENTER 02/07/2019 below) below) **Executive Vice President** (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting ST. PAUL, MN 55144-1000

#### (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1. Title of 2. Transaction Date 2A. Deemed 3. 4. Securities Acquired (A) 5. Amount of 7. Nature of Security (Month/Day/Year) Execution Date, if Transaction Disposed of (D) Securities Ownership Indirect (Instr. 3) Code (Instr. 3, 4 and 5) Beneficially Form: Beneficial (Month/Day/Year) Owned Direct (D) Ownership (Instr. 8) Following or Indirect (Instr. 4) Reported (A) Transaction(s) (Instr. 4) (Instr. 3 and 4) Code V Amount Price Common 02/07/2019 M 13,172 A \$ 78.72 46,701.0024 D Stock Common 02/07/2019 S 100 D \$ 199.26 46,601.0024 D Stock Common S 82 02/07/2019 D \$ 199.27 46,519.0024 D Stock Common S 02/07/2019 100 46,419.0024 D Stock Common 02/07/2019 S 203 \$ 199.28 46,216.0024 D D Stock

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

OMB

Number:

Expires:

response...

Estimated average

burden hours per

## Edgar Filing: Bushman Julie L - Form 4

| Common<br>Stock | 02/07/2019 | S | 200 | D | \$ 199.29     | 46,016.0024 | D |
|-----------------|------------|---|-----|---|---------------|-------------|---|
| Common<br>Stock | 02/07/2019 | S | 135 | D | \$ 199.3      | 45,881.0024 | D |
| Common<br>Stock | 02/07/2019 | S | 375 | D | \$ 199.31     | 45,506.0024 | D |
| Common<br>Stock | 02/07/2019 | S | 100 | D | \$<br>199.315 | 45,406.0024 | D |
| Common<br>Stock | 02/07/2019 | S | 15  | D | \$ 199.32     | 45,391.0024 | D |
| Common<br>Stock | 02/07/2019 | S | 200 | D | \$<br>199.325 | 45,191.0024 | D |
| Common<br>Stock | 02/07/2019 | S | 110 | D | \$ 199.33     | 45,081.0024 | D |
| Common<br>Stock | 02/07/2019 | S | 100 | D | \$ 199.34     | 44,981.0024 | D |
| Common<br>Stock | 02/07/2019 | S | 100 | D | \$<br>199.355 | 44,881.0024 | D |
| Common<br>Stock | 02/07/2019 | S | 100 | D | \$ 199.36     | 44,781.0024 | D |
| Common<br>Stock | 02/07/2019 | S | 400 | D | \$ 199.37     | 44,381.0024 | D |
| Common<br>Stock | 02/07/2019 | S | 365 | D | \$ 199.39     | 44,016.0024 | D |
| Common<br>Stock | 02/07/2019 | S | 10  | D | \$ 199.4      | 44,006.0024 | D |
| Common<br>Stock | 02/07/2019 | S | 105 | D | \$ 199.41     | 43,901.0024 | D |
| Common<br>Stock | 02/07/2019 | S | 20  | D | \$ 199.42     | 43,881.0024 | D |
| Common<br>Stock | 02/07/2019 | S | 120 | D | \$ 199.46     | 43,761.0024 | D |
| Common<br>Stock | 02/07/2019 | S | 100 | D | \$<br>199.465 | 43,661.0024 | D |
| Common<br>Stock | 02/07/2019 | S | 280 | D | \$ 199.47     | 43,381.0024 | D |
| Common<br>Stock | 02/07/2019 | S | 100 | D | \$<br>199.475 | 43,281.0024 | D |
| Common<br>Stock | 02/07/2019 | S | 200 | D | \$ 199.48     | 43,081.0024 | D |
|                 | 02/07/2019 | S | 200 | D |               | 42,881.0024 | D |

### Edgar Filing: Bushman Julie L - Form 4

Common Stock 199.495

Common 02/07/2019 S 400 \$ 199.5 42,481.0024 D Stock

by Common 844 Ι 401k/paesop Stock

Trust

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

of

Shares

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security | Conversion or Exercise | 3. Transaction Date (Month/Day/Year) | Execution Date, if any | 4. Transaction Code | of                       | 6. Date Exerc<br>Expiration D<br>(Month/Day/ | ate             | 7. Title and Amount of Underlying | D<br>g S | B. Price of Derivative Security | 9. Nu<br>Deriv<br>Secur |
|---------------------------------|------------------------|--------------------------------------|------------------------|---------------------|--------------------------|--|-----------------|-----------------------------------|----------|---------------------------------|-------------------------|
| (Instr. 3)                      | Price of Derivative    |                                      | (Month/Day/Year)       | (Instr. 8)          | Derivative<br>Securities |  |                 | Securities                        | `        | Instr. 5)                       | Bene                    |
|                                 |                        |                                      |                        |                     | Securities               |  |                 | (Instr. 3 and                     | u 4)     |                                 | Owne                    |
|                                 | Security               |                                      |                        |                     | Acquired                 |  |                 |                                   |          |                                 | Follo                   |
|                                 |                        |                                      |                        |                     | (A) or                   |  |                 |                                   |          |                                 | Repo                    |
|                                 |                        |                                      |                        |                     | Disposed                 |  |                 |                                   |          |                                 | Trans                   |
|                                 |                        |                                      |                        |                     | of (D)                   |  |                 |                                   |          |                                 | (Instr                  |
|                                 |                        |                                      |                        |                     | (Instr. 3,               |  |                 |                                   |          |                                 |                         |
|                                 |                        |                                      |                        |                     | 4, and 5)                |  |                 |                                   |          |                                 |                         |
|                                 |                        |                                      |                        |                     |                          |  |                 | Amo                               | ount     |                                 |                         |
|                                 |                        |                                      |                        |                     |                          | Date<br>Exercisable                          | Expiration Date | or<br>Title Num                   | nber     |                                 |                         |

## **Reporting Owners**

Relationships Reporting Owner Name / Address

> Officer Other Director 10% Owner

Code V (A) (D)

Bushman Julie L 3M CENTER **Executive Vice President** 

ST. PAUL, MN 55144-1000

**Signatures** 

/s/ Sheila B. Claugherty, attorney-in-fact for Julie L. 02/11/2019 Bushman

> \*\*Signature of Reporting Person Date

Reporting Owners 3

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

#### **Remarks:**

1 of 3

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.