

AMPHENOL CORP /DE/  
Form 3  
February 08, 2017

# FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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## INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting  
Person \*

Â Gu Richard

(Last)

(First)

(Middle)

2. Date of Event Requiring  
Statement

(Month/Day/Year)

02/01/2017

3. Issuer Name **and** Ticker or Trading Symbol  
AMPHENOL CORP /DE/ [APH]

4. Relationship of Reporting  
Person(s) to Issuer

5. If Amendment, Date Original  
Filed(Month/Day/Year)

(Check all applicable)

\_\_\_\_ Director \_\_\_\_ 10% Owner  
\_\_X\_\_ Officer \_\_\_\_ Other  
(give title below) (specify below)  
VP,Grp GM Mobile Consumer  
Prod

C/O SHANGHAI AMPHENOL  
AIR WAVE,Â NO. 689  
SHENNAN ROAD,  
XINZHUANG IND AREA

(Street)

SHANGHAIÂ 201108

(City)

(State)

(Zip)

6. Individual or Joint/Group  
Filing(Check Applicable Line)  
\_\_X\_\_ Form filed by One Reporting  
Person  
\_\_\_\_ Form filed by More than One  
Reporting Person

### Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security  
(Instr. 4)

2. Amount of Securities  
Beneficially Owned  
(Instr. 4)

3. Ownership  
Form:  
Direct (D)  
or Indirect  
(I)  
(Instr. 5)

4. Nature of Indirect Beneficial  
Ownership  
(Instr. 5)

Class A Common Stock

1,000

D

Â

Reminder: Report on a separate line for each class of securities beneficially  
owned directly or indirectly.

SEC 1473 (7-02)

**Persons who respond to the collection of  
information contained in this form are not  
required to respond unless the form displays a  
currently valid OMB control number.**

### Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security  
(Instr. 4)

2. Date Exercisable and  
Expiration Date  
(Month/Day/Year)

3. Title and Amount of  
Securities Underlying  
Derivative Security

4. Conversion  
or Exercise

5. Ownership  
Form of

6. Nature of Indirect  
Beneficial  
Ownership

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	Date Exercisable	Expiration Date	(Instr. 4) Title	Amount or Number of Shares	Price of Derivative Security	Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	(Instr. 5)
Stock Option	05/27/2011	05/27/2020	Class A Common Stock	4,000	\$ 21.5 <sup>(1)</sup>	D	Â
Stock Option	05/24/2013	05/24/2022	Class A Common Stock	16,000	\$ 26.63 <sup>(1)</sup>	D	Â
Stock Option	05/23/2014	05/23/2023	Class A Common Stock	28,000	\$ 39 <sup>(1)</sup>	D	Â
Stock Option	05/22/2015	05/22/2024	Class A Common Stock	30,000	\$ 47.72 <sup>(1)</sup>	D	Â
Stock Option	05/21/2016	05/21/2025	Class A Common Stock	35,000	\$ 57.97	D	Â
Stock Option	01/04/2017	01/04/2026	Class A Common Stock	100,000	\$ 50.87	D	Â

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Gu Richard C/O SHANGHAI AMPHENOL AIR WAVE NO. 689 SHENNAN ROAD, XINZHUANG IND AREA SHANGHAI 201108	Â	Â	Â VP,Grp GM Mobile Consumer Prod	Â

## Signatures

Stephen  
Dorrough, POA 02/08/2017

\_\_Signature of  
Reporting Person Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Securities owned reflect 2-for-1 stock split effective October 9, 2014.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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