### ISLE OF CAPRI CASINOS INC

Form 4

October 21, 2016

# FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number:

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**OMB APPROVAL** 

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF subject to Section 16. Form 4 or

**SECURITIES** Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

response...

may continue. See Instruction 1(b).

Check this box

if no longer

Form 5

obligations

30(h) of the Investment Company Act of 1940

(Middle)

(Print or Type Responses)

(Last)

1. Name and Address of Reporting Person \*

**GOLDSTEIN ROBERT S** 

2. Issuer Name and Ticker or Trading

Symbol

ISLE OF CAPRI CASINOS INC

[ISLE]

5. Relationship of Reporting Person(s) to

Issuer

3. Date of Earliest Transaction

\_X\_\_ Director 10% Owner

(Check all applicable)

Officer (give title below)

Other (specify

600 EMERSON ROAD, SUITE 300 (Street)

(First)

10/19/2016

4. If Amendment, Date Original

Filed(Month/Day/Year)

(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

SAINT LOUIS, MO 63141

| (City)                               | (State)                                 | (Zip) Tab   | le I - Non-l                            | Derivative                       | Secu  | rities Acqu  | nired, Disposed of   | f, or Beneficia  | lly Owned   |
|--------------------------------------|---|---|---|----------------------------------|-------|--------------|--|--|---|
| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3.<br>Transaction<br>Code<br>(Instr. 8) | 4. Securi or(A) or Di (Instr. 3, | spose | d of (D)     | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
| Common<br>Stock                      | 10/19/2016                              |   | A                                       | 7,404<br>(1)                     | A     | \$<br>21.595 | 153,937  | D  |   |
| Common<br>Stock                      |   |   |   |                                  |       |              | 14,565,457   | I  | By GFIL<br>Holdings,<br>LLC (2)                                   |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

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#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of | 2.          | 3. Transaction Date |                    | 4.         | 5.         | 6. Date Exer |            | 7. Title a |        | 8. Price of | 9. Nu   |
|-------------|-------------|---------------------|--------------------|------------|------------|--------------|------------|------------|--------|-------------|---------|
| Derivative  | Conversion  | (Month/Day/Year)    | Execution Date, if |            | onNumber   | Expiration D |            | Amount     |        | Derivative  | Deriv   |
| Security    | or Exercise |                     | any                | Code       | of         | (Month/Day/  | Year)      | Underly    | _      | Security    | Secui   |
| (Instr. 3)  | Price of    |                     | (Month/Day/Year)   | (Instr. 8) | Derivative | e            |            | Securitie  | es     | (Instr. 5)  | Bene    |
|             | Derivative  |                     |                    |            | Securities | S            |            | (Instr. 3  | and 4) |             | Own     |
|             | Security    |                     |                    |            | Acquired   |              |            |            |        |             | Follo   |
|             | ,           |                     |                    |            | (A) or     |              |            |            |        |             | Repo    |
|             |             |                     |                    |            | Disposed   |              |            |            |        |             | Trans   |
|             |             |                     |                    |            | of (D)     |              |            |            |        |             | (Instr  |
|             |             |                     |                    |            | (Instr. 3, |              |            |            |        |             | (IIISti |
|             |             |                     |                    |            | 4, and 5)  |              |            |            |        |             |         |
|             |             |                     |                    |            | 4, and 3)  |              |            |            |        |             |         |
|             |             |                     |                    |            |            |              |            | A          | mount  |             |         |
|             |             |                     |                    |            |            | D.           | E          | 01         | r      |             |         |
|             |             |                     |                    |            |            | Date         | Expiration | Title N    |        |             |         |
|             |             |                     |                    |            |            | Exercisable  | Date       | 01         |        |             |         |
|             |             |                     |                    | Code V     | (A) (D)    |              |            |            | hares  |             |         |

# **Reporting Owners**

| Reporting Owner Name / Address   | Relationships |           |         |       |  |  |
|--|---------------|-----------|---------|-------|--|--|
| 1 6  | Director      | 10% Owner | Officer | Other |  |  |
| GOLDSTEIN ROBERT S<br>600 EMERSON ROAD<br>SUITE 300<br>SAINT LOUIS, MO 63141 | X             |           |         |       |  |  |

### **Signatures**

/s/Robert S. 10/21/2016 Goldstein

\*\*Signature of Date Reporting Person

# **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Fifty percent of the shares granted (3,702) vested on date of issuance and the remaining 3,702 will vest on the one-year anniversary of the grant date.
- The reporting person is a manager of GFIL Holdings, LLC. The reporting person disclaims beneficial ownership of the shares of ISLE common stock held by GFIL Holdings, LLC except to the extent of his pecuniary interest in GFIL Holdings, LLC, and the inclusion of **(2)** these shares in this report shall not be deemed an admission of beneficial ownership of all of the reported shares for purposes of Section

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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