

THERMO FISHER SCIENTIFIC INC.

Form 3

January 07, 2016

FORM 3**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

OMB
Number: 3235-0104Expires: January 31,
2005Estimated average
burden hours per
response... 0.5**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF
SECURITIES**Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting
Person *

Â Shine Daniel P

(Last)

(First)

(Middle)

2. Date of Event Requiring
Statement

(Month/Day/Year)

01/01/2016

3. Issuer Name and Ticker or Trading Symbol

THERMO FISHER SCIENTIFIC INC. [TMO]

4. Relationship of Reporting
Person(s) to Issuer5. If Amendment, Date Original
Filed(Month/Day/Year)

81 WYMAN STREET

(Street)

(Check all applicable)

☐ Director ☐ 10% Owner☒ Officer ☐ Other

(give title below) (specify below)

Senior Vice President

6. Individual or Joint/Group

Filing(Check Applicable Line)

☒ Form filed by One Reporting
Person☐ Form filed by More than One
Reporting Person

WALTHAM,Â MAÂ 02451

(City)

(State)

(Zip)

Table I - Non-Derivative Securities Beneficially Owned1. Title of Security
(Instr. 4)2. Amount of Securities
Beneficially Owned
(Instr. 4)3. Ownership
Form:
Direct (D)
or Indirect
(I)
(Instr. 5)4. Nature of Indirect Beneficial
Ownership
(Instr. 5)

Common Stock

16,944

D

Â

Reminder: Report on a separate line for each class of securities beneficially
owned directly or indirectly.

SEC 1473 (7-02)

**Persons who respond to the collection of
information contained in this form are not
required to respond unless the form displays a
currently valid OMB control number.****Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**1. Title of Derivative Security
(Instr. 4)2. Date Exercisable and
Expiration Date
(Month/Day/Year)Date
ExercisableExpiration
Date3. Title and Amount of
Securities Underlying
Derivative Security
(Instr. 4)

Title

Amount or
Number of4. Conversion
or Exercise
Price of
Derivative
Security5. Ownership
Form of
Derivative
Security:
Direct (D)
or Indirect6. Nature of Indirect
Beneficial Ownership
(Instr. 5)

Edgar Filing: THERMO FISHER SCIENTIFIC INC. - Form 3

				Shares		(I) (Instr. 5)	
Stock Option (Right to Buy)	Â (1)	03/05/2017	Common Stock	6,000	\$ 49.49	D	Â
Stock Option (Right to Buy)	Â (2)	02/23/2018	Common Stock	16,900	\$ 54.97	D	Â
Stock Option (Right to Buy)	Â (3)	12/12/2019	Common Stock	9,375	\$ 65.15	D	Â
Stock Option (Right to Buy)	Â (4)	02/26/2020	Common Stock	15,400	\$ 73.24	D	Â
Stock Option (Right to Buy)	Â (5)	02/26/2021	Common Stock	14,800	\$ 124.28	D	Â
Stock Option (Right to Buy)	Â (6)	02/25/2022	Common Stock	12,600	\$ 131.07	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Shine Daniel P 81 WYMAN STREET WALTHAM,Â MAÂ 02451	Â	Â	Â Senior Vice President	Â

Signatures

/s/ Barbara J. Lucas, Attorney-in-Fact for Daniel P. Shine 01/07/2016

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The option became exercisable in four equal annual installments. The first installment became exercisable on March 5, 2011, and the next three installments became exercisable on March 5, 2012, 2013 and 2014.
- (2) The option became exercisable in four equal annual installments. The first installment became exercisable on February 23, 2012, and the next three installments became exercisable on February 23, 2013, 2014 and 2015.
- (3) The option is exercisable in four equal annual installments. The first, second and third installments became exercisable on December 12, 2013, 2014 and 2015, and the fourth installment will become exercisable on December 12, 2016.
- (4) The option is exercisable in four equal annual installments. The first and second installments became exercisable on February 26, 2014 and 2015, and the third and fourth installments will become exercisable on February 26, 2016 and 2017.
- (5) The option is exercisable in four equal annual installments. The first installment became exercisable on February 26, 2015, and the second, third and fourth installments will become exercisable on February 26, 2016, 2017 and 2018.
- (6) The option is exercisable in four equal annual installments beginning on February 25, 2016.

Â

Remarks:

ExhibitÂ ListÂ Â Â Â Â Â Â Â Â Â Â ExhibitÂ 24Â -Â ConfirmingÂ Statement

Edgar Filing: THERMO FISHER SCIENTIFIC INC. - Form 3

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.