3M CO Form 4 November 03, 2015

### FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB APPROVAL

OMB Number: 3235-0287

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Check this box if no longer subject to Section 16.

subject to Section 16. Form 4 or Form 5

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

| 1. Name and Add<br>Hammes Eric | •         | rting Person * | Issuer Name and Ticker or Trading     Symbol     M CO [MMM] | 5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)                             |  |  |  |
|--------------------------------|-----------|----------------|-------------------------------------------------------------|------------------------------------------------------------------------------------------------------|--|--|--|
| (Last)                         | (First)   | (Middle)       | 3. Date of Earliest Transaction                             | (Check all applicable)                                                                               |  |  |  |
|                                |           |                | (Month/Day/Year)                                            | Director 10% Owner                                                                                   |  |  |  |
| 3M CENTER                      |           |                | 10/30/2015                                                  | X Officer (give title Other (specify below) VP, Corp Controller & CAO                                |  |  |  |
| (Street)                       |           |                | 4. If Amendment, Date Original                              | 6. Individual or Joint/Group Filing(Check                                                            |  |  |  |
| ST. PAUL, M                    | N 55144-1 | 000            | Filed(Month/Day/Year)                                       | Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person |  |  |  |

| (City)                               | (State)                              | (Zip) Tab                                                   | ole I - Non-                           | -Derivativ | e Seci                        | urities Acquir | ed, Disposed of,                                                                                                   | or Beneficiall                                           | y Owned                                               |
|--------------------------------------|--------------------------------------|-------------------------------------------------------------|----------------------------------------|------------|-------------------------------|----------------|--------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------|-------------------------------------------------------|
| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3.<br>Transactic<br>Code<br>(Instr. 8) |            | esed of<br>4 and<br>(A)<br>or | ` ′            | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
| Common<br>Stock                      | 10/30/2015                           |                                                             | M                                      | 606        | A                             | \$ 87.35       | 1,679                                                                                                              | D                                                        |                                                       |
| Common<br>Stock                      | 10/30/2015                           |                                                             | S                                      | 606        | D                             | \$<br>157.6692 | 1,073                                                                                                              | D                                                        |                                                       |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474

(9-02)

### Edgar Filing: 3M CO - Form 4

#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| D<br>S | Title of Perivative ecurity Instr. 3)   | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transactic<br>Code<br>(Instr. 8) | 5. Numborof Derivati Securiti Acquire (A) or Dispose of (D) (Instr. 3 and 5) | ive<br>les<br>ed | 6. Date Exercisable and Expiration Date (Month/Day/Year) |                    | 7. Title and A Underlying S (Instr. 3 and | Securities                             |
|--------|-----------------------------------------|-----------------------------------------------------------------------|--------------------------------------|-------------------------------------------------------------|----------------------------------------|------------------------------------------------------------------------------|------------------|----------------------------------------------------------|--------------------|-------------------------------------------|----------------------------------------|
|        |                                         |                                                                       |                                      |                                                             | Code V                                 | (A) (I                                                                       | D)               | Date<br>Exercisable                                      | Expiration<br>Date | Title                                     | Amount<br>or<br>Number<br>of<br>Shares |
| S      | Non-qualified tock Option Right to Buy) | \$ 87.35                                                              | 10/30/2015                           |                                                             | M                                      | 60                                                                           | 06               | 05/09/2007                                               | 05/09/2016         | Common<br>Stock                           | 606                                    |

## **Reporting Owners**

| Reporting Owner Name / Address       | Relationships |           |                  |       |  |  |  |  |
|--------------------------------------|---------------|-----------|------------------|-------|--|--|--|--|
| <b>Fg</b> • ·· ·· · · ·              | Director      | 10% Owner | Officer          | Other |  |  |  |  |
| Hammes Eric D.                       |               |           | VP, Corp         |       |  |  |  |  |
| 3M CENTER<br>ST. PAUL, MN 55144-1000 |               |           | Controller & CAO |       |  |  |  |  |
| Signatures                           |               |           | CAO              |       |  |  |  |  |

/s/ Sheila B. Claugherty, attorney-in-fact for Eric D. 11/02/2015 Hammes

> \*\*Signature of Reporting Person Date

### **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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