**ILLUMINA INC** Form 4 June 11, 2015

# FORM 4

Form 4 or

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Form 5 obligations may continue. See Instruction 1(b).

(First)

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Last)

(Print or Type Responses)

5200 ILLUMINA WAY

SAN DIEGO, CA 92122

1. Name and Address of Reporting Person \* 2. Issuer Name and Ticker or Trading WALT DAVID R Symbol

(Middle)

ILLUMINA INC [ILMN]

3. Date of Earliest Transaction (Month/Day/Year)

06/09/2015

(Street) 4. If Amendment, Date Original

Filed(Month/Day/Year)

OMB

Number:

Expires:

response...

Estimated average

burden hours per

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

\_X\_\_ Director 10% Owner Officer (give title Other (specify below)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

|                                      |   |  | i cison                                |                                       |                              |                               |  |  |   |  |  |
|--------------------------------------|---|--|--|---------------------------------------|------------------------------|-------------------------------|--|--|---|--|--|
| (City)                               | (State)                                 | (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned |  |                                       |                              |                               |  |  |   |  |  |
| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year)                            | 3.<br>Transactic<br>Code<br>(Instr. 8) | 4. Securi<br>oror Dispo<br>(Instr. 3, | sed of<br>4 and<br>(A)<br>or | ` '                           | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |  |  |
| Common<br>Stock                      | 06/09/2015                              |  | S                                      | 1,000<br>(1)                          | D<br>D                       | \$ 209.668<br>\(\frac{2}{2}\) | 743,337  | D  |   |  |  |
| Common<br>Stock                      | 06/09/2015                              |  | S                                      | 1,200<br>(1)                          | D                            | \$<br>210.715<br>(3)          | 742,137  | D  |   |  |  |
| Common<br>Stock                      | 06/09/2015                              |  | S                                      | 200 (1)                               | D                            | \$ 211.48<br>(4)              | 741,937  | D  |   |  |  |
| Common<br>Stock                      | 06/09/2015                              |  | S                                      | 1,200<br>(1)                          | D                            | \$<br>213.145<br>(5)          | 740,737  | D  |   |  |  |

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| Common<br>Stock | 06/09/2015 | S | 2,400<br>(1) | D | \$<br>214.174<br>(6)        | 738,337 | D |              |
|-----------------|------------|---|--------------|---|-----------------------------|---------|---|--------------|
| Common<br>Stock | 06/09/2015 | S | 4,000<br>(1) | D | \$<br>215.041<br><u>(7)</u> | 734,337 | D |              |
| Common<br>Stock | 06/09/2015 | S | 200 (1)      | D | \$<br>209.585<br>(8)        | 56,760  | I | By<br>Spouse |
| Common<br>Stock | 06/09/2015 | S | 200 (1)      | D | \$ 210.87<br>(9)            | 56,560  | I | By<br>Spouse |
| Common<br>Stock | 06/09/2015 | S | 200 (1)      | D | \$ 213.13<br>(10)           | 56,360  | I | By<br>Spouse |
| Common<br>Stock | 06/09/2015 | S | 600 (1)      | D | \$ 214.19<br>(11)           | 55,760  | I | By<br>Spouse |
| Common<br>Stock | 06/09/2015 | S | 800 (1)      | D | \$<br>215.067<br>(12)       | 54,960  | I | By<br>Spouse |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

> 9. Nu Deriv Secur Bene Own Follo Repo Trans (Instr

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transact<br>Code<br>(Instr. 8) | 5. ionNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 5                   | ate                | Amou<br>Under<br>Secur | rlying                                 | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) |  |
|---|---|--------------------------------------|---|--------------------------------------|--|---------------------|--------------------|------------------------|--|---|--|
|   |   |                                      |   | Code V                               | 7 (A) (D)  | Date<br>Exercisable | Expiration<br>Date | Title                  | Amount<br>or<br>Number<br>of<br>Shares |   |  |

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Reporting Owners 2

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Director 10% Owner Officer Other

WALT DAVID R 5200 ILLUMINA WAY SAN DIEGO, CA 92122

**Signatures** 

By: Scott M. Davies for David R. Walt

06/11/2015

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

X

- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The sale was made pursuant to a 10b5-1 plan.
- Weighted average sale price representing 1,000 shares sold ranging from \$209.28 to \$210.14 per share. Number of shares sold at each separate price will be made available to the SEC staff, the issuer, or a security holder of the issuer upon request.
- (3) Weighted average sale price representing 1,200 shares sold ranging from \$210.39 to \$210.86 per share. Number of shares sold at each separate price will be made available to the SEC staff, the issuer, or a security holder of the issuer upon request.
- Weighted average sale price representing 200 shares sold ranging from \$211.44 to \$211.52 per share. Number of shares sold at each separate price will be made available to the SEC staff, the issuer, or a security holder of the issuer upon request.
- Weighted average sale price representing 1,200 shares sold ranging from \$212.65 to \$213.60 per share. Number of shares sold at each separate price will be made available to the SEC staff, the issuer, or a security holder of the issuer upon request.
- Weighted average sale price representing 2,400 shares sold ranging from \$213.69 to \$214.685 per share. Number of shares sold at each separate price will be made available to the SEC staff, the issuer, or a security holder of the issuer upon request.
- (7) Weighted average sale price representing 4,000 shares sold ranging from \$214.72 to \$215.37 per share. Number of shares sold at each separate price will be made available to the SEC staff, the issuer, or a security holder of the issuer upon request.
- Weighted average sale price representing 200 shares sold ranging from \$209.28 to \$209.89 per share. Number of shares sold at each separate price will be made available to the SEC staff, the issuer, or a security holder of the issuer upon request.
- (9) Weighted average sale price representing 200 shares sold ranging from \$210.78 to \$210.96 per share. Number of shares sold at each separate price will be made available to the SEC staff, the issuer, or a security holder of the issuer upon request.
- (10) Weighted average sale price representing 200 shares sold ranging from \$212.65 to \$213.61 per share. Number of shares sold at each separate price will be made available to the SEC staff, the issuer, or a security holder of the issuer upon request.
- (11) Weighted average sale price representing 600 shares sold ranging from \$213.81 to \$214.68 per share. Number of shares sold at each separate price will be made available to the SEC staff, the issuer, or a security holder of the issuer upon request.
- Weighted average sale price representing 800 shares sold ranging from \$214.83 to \$215.20 per share. Number of shares sold at each separate price will be made available to the SEC staff, the issuer, or a security holder of the issuer upon request.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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