## Edgar Filing: AES CORP - Form 4

**AES CORP** 

Form 4 January 03, 2	2014										
<b>FORM 4</b> UNITED STATES SECURITIES AND EXCHANGE COMMISSION									OMB APPROVAL		
Washington, D.C. 20549										3235-0287	
Check thi if no long	er	CHAN	CFS IN I	RENEF	СТА		JEDSHID OF	Expires:	January 31, 2005		
subject to Section 10 Form 4 or Form 5	6. SECURITIES								Estimated average burden hours per response 0		
obligation may conti <i>See</i> Instru 1(b).	inue. Section 17(a	a) of the H	Public Ut		ing Con	npany	Act of	e Act of 1934, 1935 or Section 0	1		
(Print or Type R	Responses)										
1. Name and Address of Reporting Person <u>*</u> miller brian a			2. Issuer Name <b>and</b> Ticker or Trading Symbol AES CORP [AES]					5. Relationship of Reporting Person(s) to Issuer			
(Last)	(First) (N	/liddle)	3. Date of	Earliest Tra	ansaction			(Check	k all applicable)		
4300 WILSON BOULEVARD 12/3 (Street) 4. If			(Month/Day/Year) 12/31/2013					Director 10% Owner X_ Officer (give title Other (specify below) EVP, General Counsel and Secy			
				. If Amendment, Date Original				6. Individual or Joint/Group Filing(Check			
Filed ARLINGTON, VA 22203				(Month/Day/Year)				Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person			
(City)	(State)	(Zip)	Table	e I - Non-D	erivative	Secur	ities Acq	uired, Disposed of	, or Beneficial	y Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deen Execution any (Month/D	n Date, if	3. Transactio Code (Instr. 8)	4. Securi n(A) or Di (Instr. 3,	ispose	d of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		
Common Stock	12/31/2013			Code V F	Amount 6,671 (1)	(D) D	Price \$ 14.51	(Instr. 3 and 4) 110,591	D		
Common Stock								32,711 <u>(2)</u>	Ι	By 401(k) Plan	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	Unde Secur	int of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

## **Reporting Owners**

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
miller brian a 4300 WILSON BOULEVARD ARLINGTON, VA 22203			EVP, General Counsel and Secy				
Signatures							

/s/Brian A. 01/03/2014 Miller

<u>\*\*</u>Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Reflects tax withholding paid in connection with a Performance Stock Unit award granted on February 20, 2009 that was earned on February 17, 2012 and settled in shares of AES Common Stock on December 31, 2013.
- Between February 15, 2013 and December 26, 2013, the reporting person acquired 1,126 shares of AES Common Stock pursuant to The AES Retirement Savings Plan. This information is based on a plan statement dated December 26, 2013.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.