

GILBERTSON JOHN S

Form 4

May 31, 2013

FORM 4**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
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(Print or Type Responses)

1. Name and Address of Reporting Person *
GILBERTSON JOHN S

(Last) (First) (Middle)

1 AVX BOULEVARD

(Street)

FOUNTAIN INN, SC 29644

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol
AVX Corp [AVX]

3. Date of Earliest Transaction
(Month/Day/Year)
05/29/2013

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☒ Director ☐ 10% Owner
☒ Officer (give title below) ☐ Other (specify below)

CEO and President

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	05/29/2013		M	8,392 A	\$ 11.92 8,392	D	
Common Stock	05/29/2013		M	13,310 A	\$ 11.92 21,702	D	
Common Stock	05/29/2013		S	21,702 D	\$ 12.2 0	D	
Common Stock	05/29/2013	05/30/2013	A	183 A	\$ 12.14 40,480	I	By Retirement Plan Trustees
	05/30/2013		M	78,298 A	78,298	D	

Common Stock					\$ 11.92	
Common Stock	05/30/2013	S	78,298	D	\$ 12.07	0 D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount Underlying Security (Instr. 3 and 4)			
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Incentive Stock Option (Right to Buy)	\$ 11.92	05/29/2013		M		8,392		06/03/2004	06/03/2013	Common Stock	8,392
Non-Qualified Stock Option (Right to Buy)	\$ 11.92	05/29/2013		M		13,310		06/04/2004	06/03/2013	Common Stock	13,310
Non-Qualified Stock Option (Right to Buy)	\$ 11.92	05/30/2013		M		78,298		06/04/2004	06/03/2013	Common Stock	78,298

Reporting Owners

Reporting Owner Name / Address	Relationships
	Director 10% Owner Officer Other
GILBERTSON JOHN S 1 AVX BOULEVARD FOUNTAIN INN, SC 29644	X CEO and President

Signatures

Kurt P. Cummings,
attorney-in-fact 05/31/2013

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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