MILLER JAMES B JR

Form 4 May 03, 2013

# FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB APPROVAL** 

OMB Number:

3235-0287

Expires:

January 31, 2005

0.5

Estimated average

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response...

Check this box if no longer subject to Section 16. Form 4 or

Form 5 obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* MILLER JAMES B JR

2. Issuer Name and Ticker or Trading

Symbol

FIDELITY SOUTHERN CORP

[LION]

3. Date of Earliest Transaction

(Month/Day/Year) 05/02/2013

\_X\_\_ Director \_X\_\_ 10% Owner X\_ Officer (give title \_X\_ Other (specify

below) below) Chairman / Executive Officer

3490 PIEDMONT ROAD, SUITE 1550

(First)

(Street)

(State)

(Middle)

(Zip)

(City)

(Last)

4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

5. Relationship of Reporting Person(s) to

(Check all applicable)

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Issuer

ATLANTA, GA 30305

(City)	(State) (Z	ip) Table	I - Non-De	rivative Securities Acq	uired, Disposed of, o	r Beneficially	y Owned
1.Title of Security	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if	3. Transactio	4. Securities Acquired on(A) or Disposed of	5. Amount of Securities	6. Ownership	7. Nature of Indirect
(Instr. 3)	, ,	any (Month/Day/Year)	Code (Instr. 8)	(D) (Instr. 3, 4 and 5) (A) or	Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)		Beneficial Ownership (Instr. 4)
			Code V	Amount (D) Price		(IIIsti: 1)	

**Fidelity** 

Southern 2,629,403.7624 D S 200 Corporation 05/02/2013

- Common Stock

**Fidelity** Southern

Corporation I By 401(k) 917.8575

- Common Stock

> 11,573.1191 Ι

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Fidelity Southern Corporati - Commo Stock	ion							By Grandchil - N.p. Miller	ld
Fidelity Southern Corporati - Commo	ion					212,999.38	01 I	By Shares Held By Ltd Partnersh	
Fidelity Southern Corporati - Commo	ion					239,668	I	By Trust Family	-
Fidelity Southern Corporati - Commo	ion					98,493.566	6 I	By Trust Spouse Estate	-
Reminder: 1	Report on a sep	parate line for each cla	ass of securities benef	Persor inform require	ns who res ation cont ed to resp ys a curre	or indirectly. spond to the coltained in this following unless the ntly valid OMB of	rm are not form	SEC 1474 (9-02)	
			ative Securities Acq puts, calls, warrants				ned		
1. Title of Derivative Security (Instr. 3)  2. Conversion or Exercise Price of Derivative Security		(Month/Day/Ye		TransactionNumber Code of		(Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V		Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option (Right to Buy)	\$ 4.6					07/22/2009(1)	07/22/2013	Fidelity Southern Corporation - Common Stock	50,000

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 Stock
 Fidelity

 Option (Right to Buy)
 \$ 9

 12/21/2013(2)
 12/21/2017
 Corporation Corporation Common Stock

# **Reporting Owners**

Reporting Owner Name / Address

Director 10% Owner Officer Other

MILLER JAMES B JR

3490 PIEDMONT ROAD
SUITE 1550

X X Chairman Executive Officer

## **Signatures**

ATLANTA, GA 30305

Barbara McNeill, Attorney in Fact for James B.
Miller, Jr.

05/03/2013

\*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Exercisable: 1/3 on 7/22/09; 1/3 on 7/22/10; 1/3 on 7/22/11
- (2) 16,667 on 12/21/2013; 16,666 on 12/21/2014; 16,666 on 12/21/2015

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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