Cummings William E JR Form 4 December 14, 2007

#### FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB APPROVAL OMB** 

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Check this box if no longer subject to Section 16.

Form 4 or Form 5 obligations may continue.

See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

| 1. Name and Address of Reporting Person ** Cummings William E JR |          |          | 2. Issuer Name <b>and</b> Ticker or Trading Symbol | 5. Relationship of Reporting Person(s) to Issuer  |  |  |
|--|----------|----------|--|---|--|--|
|  |          |          | FUEL TECH, INC. [FTEK]                             | (Check all applicable)  |  |  |
| (Last)   | (First)  | (Middle) | 3. Date of Earliest Transaction                    |   |  |  |
|  |          |          | (Month/Day/Year)                                   | Director 10% Owner  |  |  |
| C/O FUEL TECH, 512   |          |          | 12/13/2007   | X Officer (give title Other (specify  |  |  |
| KINGSLANI  | D DRIVE  |          |  | below) below) Vice President  |  |  |
|  | (Street) |          | 4. If Amendment, Date Original                     | 6. Individual or Joint/Group Filing(Check   |  |  |
|  |          |          | Filed(Month/Day/Year)                              | Applicable Line)  |  |  |
| BATAVIA, IL 60510  |          |          |  | _X_ Form filed by One Reporting Person<br>Form filed by More than One Reporting<br>Person |  |  |
| (City)   | (State)  | (Zip)    | Table I - Non-Derivative Securities Ac             | anired Disposed of or Reneficially Owner  |  |  |

| (City)          | (State) (2          | Table              | I - Non-De | erivative Securities Acc | quired, Disposed o | f, or Beneficial | ly Owned     |
|-----------------|---------------------|--------------------|------------|--------------------------|--------------------|------------------|--------------|
| 1.Title of      | 2. Transaction Date | 2A. Deemed         | 3.         | 4. Securities Acquired   | 5. Amount of       | 6. Ownership     | 7. Nature of |
| Security        | (Month/Day/Year)    | Execution Date, if | Transactio | n(A) or Disposed of      | Securities         | Form: Direct     | Indirect     |
| (Instr. 3)      |                     | any                | Code       | (D)                      | Beneficially       | (D) or           | Beneficial   |
|                 |                     | (Month/Day/Year)   | (Instr. 8) | (Instr. 3, 4 and 5)      | Owned              | Indirect (I)     | Ownership    |
|                 |                     |                    |            |                          | Following          | (Instr. 4)       | (Instr. 4)   |
|                 |                     |                    |            | (4)                      | Reported           |                  |              |
|                 |                     |                    |            | (A)                      | Transaction(s)     |                  |              |
|                 |                     |                    | Code V     | or<br>Amount (D) Price   | (Instr. 3 and 4)   |                  |              |
| Common<br>Stock | 12/13/2007          |                    | P          | 1,000 A \$ 17.5          | 1,000              | D                |              |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

#### Edgar Filing: Cummings William E JR - Form 4

8. Pri Deriv Secur (Instr

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transacti<br>Code<br>(Instr. 8) | 5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) |                     | e                  | 7. Title and A Underlying S (Instr. 3 and | Securities                          | 8<br>I<br>S<br>() |
|---|--|---|---|---------------------------------------|---|---------------------|--------------------|---|-------------------------------------|-------------------|
|   |  |   |   | Code V                                | (A) (D)   | Date<br>Exercisable | Expiration<br>Date | Title                                     | Amount<br>or<br>Number<br>of Shares |                   |
| Stock<br>Option                                     | \$ 8.46  |   |   |                                       |   | 12/06/2007          | 12/06/2015         | Common                                    | 15,000                              |                   |
| Stock<br>Option                                     | \$ 25.49   |   |   |                                       |   | 12/07/2008          | 12/07/2016         | Common                                    | 15,000                              |                   |
| Stock<br>Option                                     | \$ 3.8   |   |   |                                       |   | 12/09/2005          | 12/09/2013         | Common                                    | 7,500                               |                   |
| Stock<br>Option                                     | \$ 4.68  |   |   |                                       |   | 12/07/2006          | 12/07/2014         | Common                                    | 3,702                               |                   |

## **Reporting Owners**

| Reporting Owner Name / Address | Relationships |
|--------------------------------|---------------|
|--------------------------------|---------------|

Director 10% Owner Officer Other

Cummings William E JR C/O FUEL TECH 512 KINGSLAND DRIVE BATAVIA, IL 60510

Vice President

### **Signatures**

William E Cummings, Jr. 12/14/2007

\*\*Signature of Reporting Date

# **Explanation of Responses:**

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2

<sup>\*\*</sup> Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).