Edgar Filing: FOREST CITY ENTERPRISES INC - Form 4/A

FOREST CITY ENTERPRISES INC

Form 4/A April 01, 2014

Stock

FORM	Λ					OMB APPROVAL		
	CMIEDS	OMB Number:	3235-0287					
Check this	er		Expires:	January 31, 2005				
if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b). STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES SECURITIES Estimated as burden hours response Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940								
(Print or Type R	esponses)							
1. Name and Ad COWEN SC	ddress of Reporting Po	Symbol	Name and Ticker or Trading CITY ENTERPRISES INC	5. Relationship of Reporting Person(s) to Issuer				
		[FCEA/I		(Check all applicable)				
(Last) 218 GIBSON	(First) (M	Earliest Transaction ay/Year) 114	_X_ Director 10% Owner Officer (give title below) Other (specify below)					
UNIVERSIT AVENUE	TY, 6823 ST. CH	ARLES						
NEW ODLE	(Street)		ndment, Date Original h/Day/Year) 114	6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting				
NEW OKLE	ANS, LA 70118			Person				
(City)	(State) (Z	Zip) Table	I - Non-Derivative Securities Ac	quired, Disposed o	f, or Beneficia	lly Owned		
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 8) (Instr. 3, 4 and 5) (A) or Code V Amount (D) Price	Beneficially	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
Class A Common Stock				20,427	D (1)			
Class A Common Stock				1,881	D (2)			
Class A Common				4,522	D (3)			

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Class A Common Stock						5,681	D (4)
Class A Common Stock	03/28/2014	A	5,872	A	\$ 0	5,872	D (5)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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 $\label{thm:convergence} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (e.g., puts, calls, warrants, options, convertible securities) \end{tabular}$

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	of	6. Date Exercisab Expiration Date (Month/Day/Year		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
2005 Stock Option Grant (right to buy)	\$ 31.75					04/06/2007(6)	04/06/2015	Class A Common	10,800
2006 Stock Option Grant (right to buy)	\$ 46.37					04/04/2008 <u>(7)</u>	04/04/2016	Class A Common	10,800
2007 Stock Option Grant (right to buy)	\$ 65.35					03/29/2009(8)	03/29/2017	Class A Common	10,800
	\$ 7.8					04/21/2010	04/21/2019		10,966

2009 Class A Common

Option Grant (right to buy)

Reporting Owners

Reporting Owner Name / Address

Director 10% Owner Officer Other

COWEN SCOTT S 218 GIBSON HALL, TULANE UNIVERSITY 6823 ST. CHARLES AVENUE NEW ORLEANS, LA 70118



Signatures

Geralyn M. Presti, Attorney-In-Fact for Scott S. Cowen

04/01/2014

Relationships

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares are held in street account.
- (2) 2011 Restricted Stock Grant 33.33% vest on 4/13/2012; 33.33% vest on 4/13/2013; and 33.33% vest on 4/13/2014.
- (3) 2012 Restricted Stock Grant 33.33% vest on 4/11/2013; 33.33% vest on 4/11/2014; and 33.33% vest on 4/11/2015.
- (4) 2013 Restricted Stock Grant 33.33% vest on 4/08/2014; 33.33% vest on 4/08/2015; and 33.33% vest on 4/08/2016.
- (5) 2014 Restricted Stock Grant 100% vest on 3/28/2015.
- (6) 2005 Stock Option Grant 25% exercisable 4/06/2007; 25% exercisable 4/06/2008; and 50% exercisable 4/06/2009.
- (7) 2006 Stock Option Grant 25% exercisable on 4/04/2008; 25% exercisable on 4/04/2009; and 50% exercisable on 4/04/2010.
- (8) 2007 Stock Option Grant 25% exercisable on 3/29/2009; 25% exercisable on 3/29/2010; and 50% exercisable on 3/29/2011.
- (9) 2009 Stock Option Grant 33.33% exercisable 4/21/2010; 33.33% exercisable 4/21/2011; and 33.33% exercisable 4/21/2012.

Remarks:

Amended filing to correct the vesting schedule of the 3/28/2014 equity grants.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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