

Conway Robert G
Form 3
October 12, 2017

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *

Conway Robert G

(Last) (First) (Middle)

101 POOR FARM ROAD

(Street)

PRINCETON, NJ 08540

(City) (State) (Zip)

2. Date of Event Requiring Statement

(Month/Day/Year)

10/03/2017

3. Issuer Name and Ticker or Trading Symbol
AGILE THERAPEUTICS INC [AGRX]

4. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

☐ Director ☐ 10% Owner
☒ Officer ☐ Other
(give title below) (specify below)
SVP Ent Planning & Info Mgt

5. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security
(Instr. 4)

2. Amount of Securities Beneficially Owned
(Instr. 4)

3. Ownership Form:
Direct (D)
or Indirect (I)
(Instr. 5)

4. Nature of Indirect Beneficial Ownership
(Instr. 5)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security
(Instr. 4)

2. Date Exercisable and Expiration Date
(Month/Day/Year)

Date Exercisable Date Expiration

3. Title and Amount of Securities Underlying Derivative Security
(Instr. 4)

Title

Amount or Number of Shares

4. Conversion or Exercise Price of Derivative Security

5. Ownership Form of Derivative Security:
Direct (D)
or Indirect (I)
(Instr. 5)

6. Nature of Indirect Beneficial Ownership
(Instr. 5)

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Employee Stock Option (Right to Buy)	01/12/2009	01/11/2019	Common Stock	45	\$ 285.71 (1)	D	Â
Employee Stock Option (Right to Buy)	12/09/2010	12/08/2020	Common Stock	4,149	\$ 1.76 (1)	D	Â
Employee Stock Option (Right to Buy)	12/06/2012	12/05/2022	Common Stock	46,485	\$ 4.38 (1)	D	Â
Employee Stock Option (Right to Buy)	02/19/2015	02/18/2025	Common Stock	30,000	\$ 9.45 (2)	D	Â
Employee Stock Option (Right to Buy)	02/08/2016	02/07/2026	Common Stock	47,500	\$ 5.93 (3)	D	Â
Employee Stock Option (Right to Buy)	01/25/2017	01/24/2027	Common Stock	38,000	\$ 2.26 (4)	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Conway Robert G 101 POOR FARM ROAD PRINCETON,Â NJÂ 08540	Â	Â	Â SVP Ent Planning & Info Mgt	Â

Signatures

/s/ Scott Coiante,
Attorney-in-Fact

10/12/2017

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) This option is fully vested.

This option was granted on February 19, 2015. 25% of the shares subject to the stock option vested on February 19, 2016, and the

(2) remaining shares vest in 36 equal monthly installments over the following three years such that the option will be fully vested on February 19, 2019.

(3) This option was granted on February 8, 2016. 25% of the shares subject to the stock option vested on February 8, 2017, and the remaining shares vest in 36 equal monthly installments over the following three years such that the option will be fully vested on February 8, 2020.

This option was granted on January 25, 2017. 25% of the shares subject to the stock option will vest on January 25, 2018, and the

(4) remaining shares vest in 36 equal monthly installments over the following three years such that the option will be fully vested on January 25, 2021.

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Remarks:

ExhibitÂ ListÂ ExhibitÂ 24.1Â -Â PowerÂ ofÂ Attorney.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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