Hicks Acquisition CO I Inc.

Form 3

October 03, 2007

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

**OMB APPROVAL** 

**OMB** Number:

3235-0104

Expires:

January 31, 2005

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**SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting

Person \*

 **MULRONEY BRIAN** 

(Last)

(First)

(Middle)

(Month/Day/Year)

10/03/2007

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

Hicks Acquisition CO I Inc. [TOH]

1981 MCGILL COLLEGE

**AVENUE** 

(Street)

Statement

Person(s) to Issuer

4. Relationship of Reporting 5. If Amendment, Date Original

Filed(Month/Day/Year)

(Check all applicable)

\_X\_\_ Director Officer

10% Owner Other (give title below) (specify below)

6. Individual or Joint/Group Filing(Check Applicable Line)

\_X\_ Form filed by One Reporting

Person

Form filed by More than One

Reporting Person

#### MONTREAL, QUEBEC CANADAÂ

(City) 1. Title of Security

(Instr. 4)

(State)

(Zip)

## Table I - Non-Derivative Securities Beneficially Owned

2. Amount of Securities

(Instr. 4)

Beneficially Owned

Ownership

Form: Direct (D) 4. Nature of Indirect Beneficial Ownership

(Instr. 5)

or Indirect (I) (Instr. 5)

Common Stock, par value \$0.0001 per share

69,000

D

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and **Expiration Date** (Month/Day/Year)

3. Title and Amount of Securities Underlying **Derivative Security** (Instr. 4)

Conversion or Exercise Price of Derivative

Ownership Form of Derivative Security:

6. Nature of Indirect Beneficial Ownership (Instr. 5)

Title

Direct (D) Security

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	Date Exercisable	Expiration Date		Amount or Number of Shares		or Indirect (I) (Instr. 5)	
Founders Warrants (1)	(1)	(1)	Common Stock, par value \$0.0001 per share	69,000	\$ 7.5	D	Â

## **Reporting Owners**

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
MULRONEY BRIAN 1981 MCGILL COLLEGE AVENUE MONTREAL, QUEBEC CANADAÂ	ÂX	Â	Â	Â		

# **Signatures**

/s/ Joseph B. Armes, on behalf of Brian Mulroney

10/03/2007

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Each founder's unit consists of one share of common stock, par value \$0.0001 per share, and one warrant ("Founder's Warrant"). Each Founder's Warrant entitles the holder to purchase one share of common stock. Founder's Warrants are identical to the warrants currently being offered by the Hicks Acquisition Company I, Inc. (the "Issuer"), except for those differences set forth in the Issuer's Form S-1

(1) filed with the Commission on June 14, 2007, as may be amended from time to time. In addition, the Founder's Warrants may not be exercised unless and until the last sale price of the Issuer' common stock equals or exceeds \$13.75 for any 20 days within any 30 day trading period beginning 90 days after the Issuer's initial business combination, and shall expire at 5:00 p.m., New York time, four years from the date of the Issuer's final prospectus.



#### **Remarks:**

The Power of Attorney, executed by the Reporting Person, authorizing Joseph B. Armes and Thomas

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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