#### Edgar Filing: APPLIED INDUSTRIAL TECHNOLOGIES INC - Form 4

#### APPLIED INDUSTRIAL TECHNOLOGIES INC

Form 4

August 15, 2016

# FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer

subject to Section 16. Form 4 or

Form 5 obligations

may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*

(First)

Macey Christopher

(Last)

(Instr. 3)

Common

Stock

(Middle)

(Zip)

ONE APPLIED PLAZA

(Street)

CLEVELAND, OH 44115

2. Issuer Name and Ticker or Trading

Symbol

APPLIED INDUSTRIAL TECHNOLOGIES INC [AIT]

3. Date of Earliest Transaction (Month/Day/Year)

08/11/2016

4. If Amendment, Date Original

Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to

OMB

Number:

Expires:

response...

Estimated average

burden hours per

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

Issuer

(Check all applicable)

Director 10% Owner X\_ Officer (give title Other (specify

below) below) Corporate Controller

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

(City) (State)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) Execution Date, if

08/11/2016

(Month/Day/Year)

3. TransactionAcquired (A) or Code (Instr. 8)

4. Securities Disposed of (D) (Instr. 3, 4 and 5) 5. Amount of Securities Beneficially Owned Following Reported

Form: Direct (D) or Indirect (I) (Instr. 4)

D

6. Ownership 7. Nature of Indirect Beneficial Ownership (Instr. 4)

(9-02)

Transaction(s)

or (Instr. 3 and 4) (D) Price

Code V Amount A 700 (1) A \$0 5,707

(A)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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Derivat Security (Instr. 3	tive y 3)	Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	Execution Date, if any (Month/Day/Year)	Transactic Code (Instr. 8)	5. Number on f Derivative Securities Acquired (A) or Disposed of	6. Date Exercisab Expiration Date (Month/Day/Year		Underlying Secu (Instr. 3 and 4)	
		Security				(D) (Instr. 3, 4, and 5)				
							Date Exercisable	Expiration Date	Title	Amor Or Num of
					Code V	(A) (D)				Sha
Stock Appre Rights	eciation	\$ 48.19	08/11/2016		A	9,800	08/11/2017(2)	08/11/2026	Common Stock	9,8

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Macey Christopher ONE APPLIED PLAZA

ONE APPLIED PLAZA Corporate Controller CLEVELAND, OH 44115

**Signatures** 

/s/ Dianne Misenko POA for Christopher

Macey 08/15/2016

\*\*Signature of Reporting Person Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Restricted stock units that vest three years from the date of grant and are settled in shares of Applied common stock.
- (2) Stock-only stock appreciation rights which become exercisable in annual increments of 25% commencing one year after the date of grant. Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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