Nochowitz Matthew Form 3 August 07, 2018

#### FORM 3

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, response...

Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement WHIRLPOOL CORP /DE/ [WHR] **Â** Nochowitz Matthew (Month/Day/Year) 08/01/2018 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) 2000 N M63 (Check all applicable) (Street) 6. Individual or Joint/Group Filing(Check Applicable Line) 10% Owner Director \_X\_ Form filed by One Reporting \_X\_\_ Officer Other Person (give title below) (specify below) BENTON Form filed by More than One Vice President and Controller HARBOR, MIÂ 49022 Reporting Person (State) (City) (Zip) Table I - Non-Derivative Securities Beneficially Owned 2. Amount of Securities 4. Nature of Indirect Beneficial 1. Title of Security Beneficially Owned Ownership (Instr. 4) Ownership (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) D Â Common 8,422.648 Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative	2. Date Exercisable and		3. Title and Amount of		4.	5.	6. Nature of
Security	Expiration Date (Month/Day/Year)		Securities U	nderlying	Conversion	Ownership	Indirect Beneficial
(Instr. 4)			Derivative Security		or Exercise	Form of	Ownership
			(Instr. 4)		Price of	Derivative	(Instr. 5)
	Date Exercisable	Expiration Date	Title	Amount or Number of	Derivative	Security:	
					Security Direct (D)		
						or Indirect	

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				Shares		(I) (Instr. 5)	
Employee Stock Option (Right to Buy)	(1)	02/20/2022	Common	375	\$ 71.03	D	Â
Employee Stock Option (Right to Buy)	(2)	02/18/2023	Common	1,376	\$ 111.33	D	Â
Employee Stock Option (Right to Buy)	(3)	02/17/2024	Common	1,771	\$ 138.56	D	Â
Employee Stock Option (Right to Buy)	(4)	02/16/2025	Common	1,553	\$ 213.23	D	Â
Employee Stock Option (Right to Buy)	(5)	02/15/2026	Common	3,266	\$ 132.19	D	Â
Employee Stock Option (Right to Buy)	(6)	02/20/2027	Common	2,427	\$ 177.19	D	Â
Employee Stock Option (Right to Buy)	(7)	02/19/2028	Common	2,899	\$ 172.7	D	Â
Restricted Stock Units	04/01/2019(8)	(8)	Common	2,500	\$ (8)	D	Â
Restricted Stock Units	02/15/2019(9)	(9)	Common	253	\$ (9)	D	Â
Restricted Stock Units	02/20/2019(10)	(10)	Common	396	\$ (10)	D	Â
Restricted Stock Units	02/19/2021(11)	(11)	Common	2,500	\$ (11)	D	Â
Restricted Stock Units	02/19/2019(12)	(12)	Common	643	\$ (12)	D	Â

## **Reporting Owners**

Reporting Owner Name / Address	Relationships					
<b>-</b>	Director 10% Owner		Officer	Other		
Nochowitz Matthew 2000 N M63	Â	Â	Vice President and Controller	Â		
BENTON HARBOR, MI 49022						

### **Signatures**

/s/ Bridget K. Quinn, Attorney-in-Fact 08/07/2018

\*\*Signature of Reporting Person

#### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The option became exercisable in three substantially equal annual installments beginning on February 20, 2013.

Date

- (2) The option became exercisable in three substantially equal annual installments beginning on February 18, 2014.
- (3) The option became exercisable in three substantially equal annual installments beginning on February 17, 2015.
- (4) The option became exercisable in three substantially equal annual installments beginning on February 16, 2016.

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- (5) The option became exercisable in three substantially equal annual installments beginning on February 15, 2017 and the remaining installment will become exercisable on February 15, 2019.
- (6) The option became exercisable in three substantially equal annual installments beginning on February 20, 2018 and the remaining installments will become exercisable on February 20, 2019 and February 20, 2020.
- (7) The option becomes exercisable in three substantially equal annual installments beginning on February 19, 2019.
- The restricted stock units, granted on April 1, 2014 in the amount of 7,500 restricted stock units, have one remaining vesting date on April 1, 2019 in the amount of 2,500 restricted stock units. The remaining restricted stock units will vest and convert one-for-one to shares on that date.
- The restricted stock units, granted on February 15, 2016 in the amount of 767 restricted stock units, have one remaining vesting date on February 15, 2019 in the amount of 253 restricted stock units. The remaining restricted stock units will vest and convert one-for-one to
- shares on that date.

  The restricted stock units, granted on February 20, 2017 in the amount of 603 restricted stock units, vest in three equal installments
- (10) beginning on February 20, 2018. The remaining restricted stock units will vest and convert one-for-one to shares as follows: one-third of the original grant on February 20, 2019 and one-third of the original grant on February 20, 2020.
- (11) The restricted stock units, granted on February 19, 2018, will vest on February 19, 2021 and convert one-for-one to shares.
- The restricted stock units, granted on February 19, 2018, will vest and convert one-for-one to shares as follows: one-third of the original grant on February 19, 2020, and one-third of the original grant on February 19, 2021.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.