Edgar Filing: Shukla Saumil P - Form 4

| Shukla Sauı Form 4 | | | | | | | | | | | | |
|--|---|------------------------------------|-------------|---------------------------------|-------------|--|-------|-------------|--|--|---|--|
| February 22 | | | | | | | | | | OMB | | |
| FORM | SECU | SECURITIES AND EXCHANGE COMMISSION | | | | | | | APPROVAL | | | |
| | | | | shingto | | | | | | OMB Number: | 3235-0287 | |
| Check the first of the check the che | CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES | | | | | | | | January 31, 2005 d average | | | |
| Section Form 4 Form 5 obligatio may cor <i>See</i> Inst 1(b). | or Filed pu ons stinue. | (a) of the l | | l6(a) of Itility H | the old | e Securi ling Cor | npan | iy Act o | ge Act of 1934, f 1935 or Sectic 40 | burden h response m | • | |
| (Print or Type | Responses) | | | | | | | | | | | |
| Shukla Saumil P Symt CON | | | Symbol CONS | ONSOLIDATED EDISON INC | | | | | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) | | | |
| (T i) | | AC11 | [ED] | | | | | | D' | | 0.01 0 | |
| C/O SECR | ^(First) DATED EDISOI ETARY, 4 IRVI OOM 16-205 | | | of Earliest Day/Year 2019 | | ansaction | | | Director X Officer (giv below) SVP, Uti | | 0% Owner other (specify ervices | |
| | (Street) | | | endment, onth/Day/Y | | - | al | | 6. Individual or J Applicable Line) _X_ Form filed by Form filed by I | One Reporting | Person | |
| | 2K, NY 10003 | | | | | | | | Person | | | |
| (City) | (State) | (Zip) | Tab | le I - Nor | n-D | erivative | Secu | rities Ac | quired, Disposed o | f, or Benefic | ially Owned | |
| 1.Title of Security (Instr. 3) | 2. Transaction Dat (Month/Day/Year) | | Date, if | Code (Instr. 8 | ction 3) | 4. Securi (A) or Di (Instr. 3, Amount | spose | d of (D) | Securities Beneficially Owned Following Reported | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | |
| Common Stock | 02/20/2019 | | | М | | 5,268 | A | <u>(1)</u> | 10,465.46 | D | | |
| Common Stock | 02/20/2019 | | | D | | 5,268 | D | \$ 78.87 | 5,197.46 | D | | |
| Common Stock | | | | | | | | | 26.48 | I | By THRIFT PLAN | |
| Common Stock | | | | | | | | | 1,289.02 | I | By Tax Reduction Act Stock | |

| Ownership |
|-----------|
| Plan |
| (TRASOP) |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transactic Code (Instr. 8) | 5. Numb orDerivativ Securitie Acquired Disposed (Instr. 3, 5) | ve es d (A) or d of (D) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | 7. Title and Amo Underlying Secu (Instr. 3 and 4) | |
|---|---|---|---|--|---|----------------------------------|--|--------------------|---|-----------------------------|
| | | | | Code V | (A) | (D) | Date Exercisable | Expiration Date | Title | An or Nu of Sha |
| Performance Units (Phantom Stock) | (2) | 02/20/2019 | | А | 4,800 | | <u>(3)</u> | <u>(3)</u> | Common Stock | 4, |
| Performance Units (Phantom Stock) | (2) | 02/20/2019 | | М | | 5,268 | 02/20/2019 | 02/20/2019 | Common Stock | 5, |

Reporting Owners

| Reporting Owner Name / Address | | Relationships | | | | | | |
|--|----------|---------------|------------------------------|-------|--|--|--|--|
| | Director | 10% Owner | Officer | Other | | | | |
| Shukla Saumil P CONSOLIDATED EDISON, INC. C/O SECRETAR 4 IRVING PLACE, ROOM 16-205 NEW YORK, NY 10003 | Y | | SVP, Utility Shared Services | | | | | |
| Signatures | | | | | | | | |
| Vanessa M. Franklin; 02/22/20 Attorney-in-Fact | 19 | | | | | | | |
| **Signature of Reporting Person Date | | | | | | | | |

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Not applicable.
- (2) Each Performance Unit is the economic equivalent of one share of Consolidated Edison, Inc. (the "Company") common stock.
- (3) Performance Units granted under the Company's Long Term Incentive Plan ("LTIP"), will vest in 2022 when they are determined and awarded by the Management Development and Compensation Committee of the Company's Board of Directors.
- (4) The number of shares (or cash equivalents) will be adjusted based on certain performance criteria, including criteria other than the market price, as specified under the LTIP.
- (5) The number of shares (or cash equivalents) were adjusted based on certain performance criteria, including criteria other than the market price, as specified under the LTIP.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.