Edgar Filing: FOREST CITY ENTERPRISES INC - Form 4/A

FOREST CITY ENTERPRISES INC

Form 4/A April 01, 2014

FORM 4

OMB APPROVAL

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB

5. Relationship of Reporting Person(s) to

(Check all applicable)

Issuer

Check this box if no longer subject to Section 16. Form 4 or

3235-0287 Number: January 31, Expires:

2005

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Estimated average burden hours per

Form 5 obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

response... 0.5

See Instruction

30(h) of the Investment Company Act of 1940

2. Issuer Name and Ticker or Trading

FOREST CITY ENTERPRISES INC

Symbol

1(b).

(Print or Type Responses)

HARMON DEBORAH L

1. Name and Address of Reporting Person *

				[FCEA/FCEB]					(Спеск ан аррисаоте)				
(Last) (First) (Middle) 2400 N STREET, NW - 6TH FL			3. Date of Earliest Transaction (Month/Day/Year) 03/28/2014					_X_ Director Officer (gives) below)		6 Owner er (specify			
(Street) WASHINGTON, DC 20037				4. If Amendment, Date Original Filed(Month/Day/Year) 04/01/2014					6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person				
(City) (State) (Zip) Table I - Non-Derivative Securitie							ties Ac	Acquired, Disposed of, or Beneficially Owned					
	1.Title of Security (Instr. 3)	2. Transaction Dat (Month/Day/Year)	Execution any	emed on Date, if /Day/Year)	3. Transactic Code (Instr. 8)	Disposed (Instr. 3,	l (A) of (D) 4 and (A) or))	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
	Class A Common Stock								940	D (1)			
	Class A Common Stock								5,681	D (2)			
	Class A Common Stock	03/28/2014			A	2,936	A	\$ 0	2,936	D (3)			
	Class A Common								400	D (4)			

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Stock

Class A

Common 6,564 D <u>(5)</u>
Stock

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

$\label{thm:convergence} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (e.g., puts, calls, warrants, options, convertible securities) \end{tabular}$

		, , ,		. •					
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. 5. Number Transaction Derivative Code Securities (Instr. 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisab Expiration Date (Month/Day/Year	7. Title and Amount of Underlying Securities (Instr. 3 and 4)		
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
2008 Stock Option Grant (right to buy)	\$ 37.68					04/07/2009(6)	04/07/2018	Class A Common	5,319
2011 Stock Option Grant (right to buy)	\$ 17.72					04/13/2012(7)	04/13/2021	Class A Common	4,463
2012 Stock Option Grant (right to buy)	\$ 14.74					04/11/2013(8)	04/11/2022	Class A Common	7,216
2014 Stock Option Grant	\$ 18.73	03/28/2014		A	4,743	03/28/2015(9)	03/28/2024	Class A Common	4,743

(right to buy)

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

HARMON DEBORAH L 2400 N STREET, NW - 6TH FL WASHINGTON, DC 20037

X

Signatures

Geralyn M. Presti, Attorney-In-Fact for Deborah L. Harmon

04/01/2014

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) 2011 Restricted Stock Grant 33.33% vest on 4/13/2012; 33.33% vest on 4/13/2013; and 33.33% vest on 4/13/2014.
- (2) 2013 Restricted Stock Grant 33.33% vest on 4/08/2014; 33.33% vest on 4/08/2015; and 33.33% vest on 4/08/2016.
- (3) 2014 Restricted Stock Grant 100% vest on 3/28/2015.
- (4) Shares are held in street account.
- (5) Shares are held in Direct Registration Account.
- (6) 2008 Stock Option Grant 33.33% exercisable 4/07/2009; 33.33% exercisable 4/07/2010; and 34.33 exercisable 4/07/2011.
- (7) 2011 Stock Option Grant 33.33% exercisable 4/13/2012; 33.33% exercisable 4/13/2013; and 33.33% exercisable 4/13/2014.
- (8) 2012 Stock Option Grant 33.33% exercisable 4/11/2013; 33.33% exercisable 4/11/2014; and 33.33% exercisable 4/11/2015.
- (9) 2014 Stock Option Grant 100% exercisable 3/28/2015.

Remarks:

Amended filing to correct the vesting schedule of the 3/28/2014 equity grants.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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