Edgar Filing: FOREST CITY ENTERPRISES INC - Form 4

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Form 4 April 01, 201												
FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION OMB												
Check thi	UNITED STATE	Washington, D.C. 20549										
if no long subject to Section 1 Form 4 or	6.	OF CHANGES II SECU			Expires: Estimated burden hou response	irs per						
Form 5 obligation may cont <i>See</i> Instru 1(b).	$\frac{18}{1000}$ Section $17(a)$ of the	Section 16(a) of Public Utility Ho of the Investment	olding Com	pany Act c	f 1935 or Sectio	n						
(Print or Type F	Responses)											
	ddress of Reporting Person <u>*</u> DEBORAH L	2. Issuer Name a Symbol FOREST CITY			5. Relationship of Reporting Person(s) to Issuer							
		[FCEA/FCEB]			(Check all applicable)							
(Last)	(First) (Middle) REET, NW - 6TH FL	3. Date of Earliest (Month/Day/Year)			X Director Officer (give below)		6 Owner er (specify					
2400 N SIN		03/28/2014										
WASHING	(Street) TON, DC 20037	4. If Amendment, Filed(Month/Day/Ye	-		 6. Individual or Joint/Group Filing(Check Applicable Line) _X_Form filed by One Reporting Person _Form filed by More than One Reporting 							
(City)	(State) (Zip)			•.•	Person							
					quired, Disposed of		-					
1.Title of Security (Instr. 3)	2. Transaction Date 2A. D (Month/Day/Year) Execu any (Mont		 4. Securit ctionAcquired Disposed 8) (Instr. 3, 4) 	(A) or of (D) 4 and 5) (A)	Securities Beneficially Owned	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)					
		Code	V Amount	or (D) Price	(Instr. 3 and 4)							
Class A Common Stock					940	D <u>(1)</u>						
Class A Common Stock					5,681	D (2)						
Class A Common Stock	03/28/2014	А	2,936	A \$0	2,936	D (<u>3)</u>						
Class A Common					400	D (4)						

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Stock															
Class A Common Stock						6,564	D <u>(5)</u>								
Reminder: F	Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly. Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02) required to respond unless the form displays a currently valid OMB control number.														
Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)															
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)		4. 5. Number Transaction Derivative Code Securities (Instr. 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)							
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares						
2008 Stock Option Grant (right to buy)	\$ 37.68					04/07/2009 <u>(6)</u>	04/07/2018	Class A Common	5,319						
2011 Stock Option Grant (right to buy)	\$ 17.72					04/13/2012 ⁽⁷⁾	04/13/2021	Class A Common	4,463						
2012 Stock Option Grant (right to buy)	\$ 14.74					04/11/2013 <u>(8)</u>	04/11/2022	Class A Common	7,216						
2014 Stock Option Grant	\$ 18.73	03/28/2014		A	4,743	03/28/2016 <u>(9)</u>	03/28/2024	Class A Common	4,743						

(right to buy)

Reporting Owners

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer Other

HARMON DEBORAH L 2400 N STREET, NW - 6TH FL WASHINGTON, DC 20037

Signatures

Geralyn M. Presti, Attorney-In-Fact for Deborah L. 04/01/2014 Harmon

Х

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) 2011 Restricted Stock Grant 33.33% vest on 4/13/2012; 33.33% vest on 4/13/2013; and 33.33% vest on 4/13/2014.
- (2) 2013 Restricted Stock Grant 33.33% vest on 4/08/2014; 33.33% vest on 4/08/2015; and 33.33% vest on 4/08/2016.
- (3) 2014 Restricted Stock Grant 33.33% vest on 3/28/2015; 33.33% vest on 3/28/2016; and 33.33% vest on 3/28/2017.
- (4) Shares are held in street account.
- (5) Shares are held in Direct Registration Account.
- (6) 2008 Stock Option Grant 33.33% exercisable 4/07/2009; 33.33% exercisable 4/07/2010; and 34.33 exercisable 4/07/2011.
- (7) 2011 Stock Option Grant 33.33% exercisable 4/13/2012; 33.33% exercisable 4/13/2013; and 33.33% exercisable 4/13/2014.
- (8) 2012 Stock Option Grant 33.33% exercisable 4/11/2013; 33.33% exercisable 4/11/2014; and 33.33% exercisable 4/11/2015.
- (9) 2014 Stock Option Grant 33.33% exercisable 3/28/2015; 33.33% exercisable 3/28/2016; and 33.33% exercisable 3/28/2017.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.