

Collins Charles J.
Form 4
March 14, 2019

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
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(Print or Type Responses)

1. Name and Address of Reporting Person *
Collins Charles J.

(Last) (First) (Middle)

12212 TECHNOLOGY BLVD

(Street)

AUSTIN, TX 78727

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol
LUMINEX CORP [LMNX]

3. Date of Earliest Transaction
(Month/Day/Year)
03/11/2019

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

____ Director ____ 10% Owner
____X____ Officer (give title below) ____ Other (specify below)

SR VP, R&D - US

6. Individual or Joint/Group Filing(Check
Applicable Line)
____X____ Form filed by One Reporting Person
____ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
Common Stock	03/11/2019		F	782 ⁽¹⁾ D	\$ 24.14	25,322	D
Common Stock	03/12/2019		F	442 ⁽²⁾ D	\$ 24.43	24,880	D
Common Stock	03/12/2019		A	7,163 A	\$ 24.43	32,622 ⁽³⁾	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474
(9-02)

number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)			
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option (Right To Buy)	\$ 21.98	03/12/2019		A		22,529 <u>(4)</u>		03/12/2019	03/12/2025	Common Stock	22,529

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Collins Charles J. 12212 TECHNOLOGY BLVD AUSTIN, TX 78727			SR VP, R&D - US	

Signatures

HARRISS T. CURRIE AS ATTORNEY-IN-FACT FOR CHARLES J. COLLINS 03/11/2019

**Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) This disposition relates to withholding for taxes on 3,216 vesting shares associated with a previously reported issuance.
- (2) This disposition relates to withholding for taxes on 1,819 vesting shares associated with a previously reported issuance.
- (3) This balance includes a total of 579 shares obtained under the Luminex Corporation Employee Stock Purchase Plan. 332 shares obtained May 31, 2018 and 247 shares obtained November 30, 2018.
- (4) The Board of Directors of Luminex Corporation determined the level of performance and number of shares earned for this performance option. The option will vest annually in four equal installments beginning 03/12/19.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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