

UNITED TECHNOLOGIES CORP /DE/
Form 4
October 19, 2006

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2005
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
CHENEVERT LOUIS

(Last) (First) (Middle)

UNITED TECHNOLOGIES CORPORATION, ONE FINANCIAL PLAZA

(Street)

HARTFORD, CT 06101

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
UNITED TECHNOLOGIES CORP /DE/ [UTX]

3. Date of Earliest Transaction (Month/Day/Year)
10/17/2006

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer
(Check all applicable)
 Director 10% Owner
 Officer (give title below) Other (specify below)
President and COO

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Ownership (Instr. 4)			
				(A) or (D)	Price					
				Code	V	Amount				
Common Stock	10/17/2006		M		23,000	A	\$ 18.2812	91,029	D	
Common Stock	10/17/2006		F		6,441	D	\$ 65.28	84,588	D	
Common Stock	10/17/2006		F		6,877	D	\$ 65.28	77,711	D	
Common Stock	10/17/2006		M		30,000	A	\$ 36.2187	107,711	D	
	10/17/2006		F		16,645	D	\$ 65.28	91,066	D	

Edgar Filing: UNITED TECHNOLOGIES CORP /DE/ - Form 4

Common Stock									
Common Stock	10/17/2006		F	5,547	D	\$ 65.28	85,519	D	
Common Stock	10/18/2006		S	1,600	D	\$ 65.16	83,919	D	
Common Stock	10/18/2006		S	800	D	\$ 65.17	83,119	D	
Common Stock	10/18/2006		S	100	D	\$ 65.18	83,019	D	
Common Stock	10/18/2006		S	2,700	D	\$ 65.21	80,319	D	
Common Stock	10/18/2006		S	300	D	\$ 65.22	80,019	D	
Common Stock	10/18/2006		S	290	D	\$ 65.24	79,729	D	
Common Stock	10/18/2006		S	1,100	D	\$ 65.25	78,629	D	
Common Stock	10/18/2006		S	2,300	D	\$ 65.27	76,329	D	
Common Stock	10/18/2006		S	1,300	D	\$ 65.28	75,029 ⁽¹⁾	D	
Common Stock							2,834.495	I	By Savings Plan Trustee

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Security (Instr. 3 and 4)		
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Am or

Option Type	Exercise Price	Grant Date	Expiration Date	Relationship	Shares	Start Date	End Date	Stock Type	Quantity
Non-Qualified Stock Option (right to buy)	\$ 18.2812	10/17/2006		M	23,000	01/02/2001	01/01/2008	Common Stock	23,000
Non-Qualified Stock Option (right to buy)	\$ 36.2187	10/17/2006		M	30,000	04/30/2002	04/29/2009	Common Stock	30,000

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
CHENEVERT LOUIS UNITED TECHNOLOGIES CORPORATION ONE FINANCIAL PLAZA HARTFORD, CT 06101	X		President and COO	

Signatures

By: /s/ Charles F. Hildebrand as Attorney-in-Fact
Date: 10/19/2006

Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The reporting person also directly owns 2,400 shares of United Technologies Career Restricted Common Stock.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.