Ghosh Amalkumar Form 4 September 14, 2012

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

may continue. See Instruction

obligations

1(b).

(Last)

(City)

(Print or Type Responses)

1. Name and Address of Reporting Person \* Ghosh Amalkumar

2. Issuer Name and Ticker or Trading Symbol

EMAGIN CORP [EMAN]

(First) (Middle) 3. Date of Earliest Transaction

(Month/Day/Year)

C/O EMAGIN CORPORATION, 3006 NORTHUP WAY, #103

(Street)

(State)

(Zin)

4. If Amendment, Date Original Filed(Month/Day/Year)

09/12/2012

6. Individual or Joint/Group Filing(Check

5. Relationship of Reporting Person(s) to

(Check all applicable)

Senior V.P, R&D

10% Owner

\_ Other (specify

**OMB APPROVAL** 

Estimated average

burden hours per

3235-0287

January 31,

2005

0.5

**OMB** 

Number:

Expires:

response...

Applicable Line)

Director

X\_ Officer (give title

Issuer

below)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

BELLEVUE, WA 98004

(City)	(State) (A	Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned										
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired on(A) or Disposed of (D) (Instr. 3, 4 and 5)		5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)				
			Code V	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)					
Common Stock	09/12/2012		M	360	A	\$ 0.98	1,835	D				
Common Stock	09/12/2012		S	360	D	\$ 4.88	1,475	D				
Common Stock	09/13/2012		M	3,640	A	\$ 0.98	5,115	D				
Common Stock	09/13/2012		S	3,640	D	\$ 4.59	1,475	D				

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

#### Edgar Filing: Ghosh Amalkumar - Form 4

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. 5. Number Transaction Derivative Code Securities (Instr. 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exer Expiration D (Month/Day)	ate	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Options (Right to buy)	\$ 0.98	09/12/2012		M	360	<u>(1)</u>	05/05/2014	Common Stock	360
Stock Options (Right to buy)	\$ 0.98	09/13/2012		M	3,640	<u>(1)</u>	05/05/2014	Common Stock	3,640
Stock Options (Right to buy)	\$ 1.94					<u>(2)</u>	03/03/2017	Common Stock	18,974
Stock Options (Right to buy)	\$ 7.79					(3)	03/15/2016	Common Stock	100,000
Stock Options (Right to buy)	\$ 7.79					<u>(4)</u>	03/15/2016	Common Stock	23,900
Stock Options (Right to buy)	\$ 3.59					(5)	03/13/2017	Common Stock	15,000

## **Reporting Owners**

**Reporting Owner Name / Address** 

Relationships

Reporting Owners

#### Edgar Filing: Ghosh Amalkumar - Form 4

Director 10% Owner Officer Other

Ghosh Amalkumar C/O EMAGIN CORPORATION 3006 NORTHUP WAY, #103 BELLEVUE, WA 98004

Senior V.P, R&D

### **Signatures**

/s/ Amalkumar

Ghosh 09/14/2012

\*\*Signature of Date

Reporting Person

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Fully exercisable.
- (2) All options were fully vested on 3/3/2011.
- (3) 1/3 vested on 3/13/2012, 1/3 will vest on 3/13/2013, and 1/3 will vest on 3/13/2014.
- (4) 1/3 vested on 3/13/2012, 1/3 will vest on 3/13/2013, and 1/3 will vest on 3/13/2014.
- (5) 1/3 will vest on 3/13/2013, 1/3 will vest on 3/13/2014, and 1/3 will vest on 3/13/2015.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Signatures 3