## Edgar Filing: HC2 HOLDINGS, INC. - Form 4

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HC2 HOLDI	NGS, INC.											
Form 4												
March 14, 20	017											
FORM 4 UNITED STATES SECURITIES AND EXCHANCE COMMISSION										OMB APPROVAL		
UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549								OMB Number:	3235-0287			
Check thi			<b>0</b> /						Expires:	January 31,		
if no long subject to	<b>F CHAN</b>	F CHANGES IN BENEFICIAL OWNERSE					Estimated a	2005				
Section 1		SECURITIES					burden hou	•				
Form 4 or					response	•						
Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,												
obligatior may conti		17(a) of the	Public Ut	ility Hold	ling Com	pany	Act of	f 1935 or Sectio	n			
See Instru		30(h	) of the In	vestment	Company	Act	of 194	40				
1(b).												
(Print or Type R	(lesponses)											
1.37 1.4	11 (D)	· ъ *						5 D L (* 1* 1				
1. Name and Address of Reporting Person <u>*</u> Herbst Suzi R				2. Issuer Name <b>and</b> Ticker or Trading				5. Relationship of Reporting Person(s) to Issuer				
			-	Symbol								
			HC2 HOLDINGS, INC. [HCHC]				<u>[</u> ]	(Check all applicable)				
(Last)	(Last) (First) (Middle) 3. Date of Earliest Transaction				ansaction							
		, _ ,	(Month/Day/Year)					Director 10% Owner				
	OLDINGS, IN		03/10/2017					XOfficer (give titleOther (specify below)				
PARK AVE						Chief Administrative Officer						
	(Street)		4. If Ame	4. If Amendment, Date Original				6. Individual or Joint/Group Filing(Check				
	Filed(Month/Day/Year)					Applicable Line)						
r nod(nondr Day, roa)							_X_ Form filed by One Reporting Person					
NEW YORF						Form filed by More than One Reporting Person						
	(54-4-)	(7:										
(City)	(State)	(Zip)	Table	e I - Non-D	erivative S	ecurit	ties Acc	uired, Disposed of	f, or Beneficia	lly Owned		
1.Title of	2. Transaction			3.			-	5. Amount of	6. Ownership			
Security	(Month/Day/Y		ion Date, if					Securities	Form: Direct Indirect			
(Instr. 3)		any (Month	/Day/Year)	Code (Instr. 8)	(D) (Instr 3 4	1 and 4	5)	2	(D) or Indirect (I)	Beneficial Ownership		
		(Infolial	/Day/Ical)	(111501.0)	(Instr. 3, 4 and 5)				(Instr. 4)	(Instr. 4)		
						(A)		Reported				
						or		Transaction(s)				
				Code V	Amount	(D)	Price	(Instr. 3 and 4)				
Common	03/10/2017			А	15,909	А	\$0	38,815	D			
Stock	03/10/2017			Α	(1)	A	ψU	50,015	D			
Common					6,019		\$					
Stock	03/10/2017			F	(2)	D	φ 5.5	32,796	D			
Stock							5.5					

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	Unde Secur	unt of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr
			Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

## **Reporting Owners**

Reporting Owner Name / Address	Relationships							
reporting owner rune ( run ess	Director 10% Owner Officer		Officer	Other				
Herbst Suzi R C/O HC2 HOLDINGS, INC. 450 PARK AVENUE, 30TH FLOOR NEW YORK, NY 10022			Chief Administrative Officer					
Signatures								
/s/ Suzi R								

/s/ Suzi R. 03/14/2017 Herbst

<u>\*\*</u>Signature of Reporting Person

re of Person

## **Explanation of Responses:**

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

Date

- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Reflects shares of restricted stock granted pursuant to the HC2 Holdings, Inc. 2014 Omnibus Equity Award Plan (the "Plan"), which vests
  (1) 1/3 on the grant date and 1/3 on each of the next two anniversaries of the grant date, provided the Reporting Person is employed by the Issuer on the applicable vesting date.
- (2) Reflects common stock withheld by Issuer to satisfy tax obligations.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.