

NICHOLAS APPLGATE CONVERTIBLE & INCOME FUND

Form NSAR-B

April 28, 2008

PAGE 1  
000 B000000 02/29/2008  
000 C000000 0001214935  
000 D000000 N  
000 E000000 NF  
000 F000000 Y  
000 G000000 N  
000 H000000 N  
000 I000000 6.1  
000 J000000 A  
001 A000000 NICHOLAS-APPLGATE CONVERTIBLE & INCOME FUND  
001 B000000 811-21284  
001 C000000 2127393371  
002 A000000 1345 AVENUE OF AMERICAS  
002 B000000 NEW YORK  
002 C000000 NY  
002 D010000 10105  
003 000000 N  
004 000000 N  
005 000000 N  
006 000000 N  
007 A000000 N  
007 B000000 0  
007 C010100 1  
007 C010200 2  
007 C010300 3  
007 C010400 4  
007 C010500 5  
007 C010600 6  
007 C010700 7  
007 C010800 8  
007 C010900 9  
007 C011000 10  
008 A000001 ALLIANZ GLOBAL INVESTORS FUND MANAGEMENT LLC  
008 B000001 A  
008 C000001 801-17227  
008 D010001 NEW YORK  
008 D020001 NY  
008 D030001 10105  
008 A000002 NICHOLAS-APPLGATE CAPITAL MANAGEMENT LLC  
008 B000002 S  
008 C000002 801-21442  
008 D010002 SAN DIEGO  
008 D020002 CA  
008 D030002 92101  
010 A000001 ALLIANZ GLOBAL INVESTORS FUND MANAGEMENT LLC  
010 B000001 801-17227  
010 C010001 NEW YORK  
010 C020001 NY  
010 C030001 10105  
011 A000001 UBS SECURITIES LLC  
011 B000001 8-22651  
PAGE 2  
011 C010001 STAMFORD  
011 C020001 CT  
011 C030001 06901  
011 A000002 PRUDENTIAL SECURITIES, INC.  
011 B000002 8-04060  
011 C010002 NEW YORK

Edgar Filing: NICHOLAS APPLGATE CONVERTIBLE & INCOME FUND - Form NSAR-B

011 C020002 NY  
011 C030002 10013  
011 A000003 H&R BLOCK FINANCIAL ADVISORS, INC.  
011 B000003 8-16752  
011 C010003 DETROIT  
011 C020003 MI  
011 C030003 48206  
011 A000004 WACHOVIA CAPITAL MARKETS, LLC  
011 B000004 8-02612  
011 C010004 CHARLOTTE  
011 C020004 NC  
011 C030004 28202  
011 A000005 LEGG MASON WOOD WALKER, INC.  
011 B000005 8-15939  
011 C010005 BALTIMORE  
011 C020005 MD  
011 C030005 21202  
011 C040005 1476  
011 A000006 RBC DAIN RAUSCHER, INC.  
011 B000006 8-45411  
011 C010006 MINNEAPOLIS  
011 C020006 MN  
011 C030006 55402  
011 A000007 WELLS FARGO SECURITIES, LLC  
011 B000007 8-35225  
011 C010007 SAN FRANCISCO  
011 C020007 CA  
011 C030007 94104  
011 A000008 ADVEST, INC.  
011 B000008 8-04159  
011 C010008 HARTFORD  
011 C020008 CT  
011 C030008 06103  
012 A000001 PFPC INC.  
012 B000001 84-58023  
012 C010001 PROVIDENCE  
012 C020001 RI  
012 C030001 02940  
012 C040001 3027  
013 A000001 PRICEWATERHOUSECOOPERS LLP  
013 B010001 NEW YORK  
013 B020001 NY  
013 B030001 10017  
014 A000001 DRESDNER BANK AG  
014 B000001 8-12859  
PAGE 3  
014 A000002 DRESDNER KLEINWORT WASSERSTEIN SECURITIES LLC  
014 B000002 8-49647  
014 A000003 DRESDNER KLEINWORT BENSON  
014 B000003 8-00000  
014 A000004 GRANTCHESTER SECURITIES  
014 B000004 8-00000  
014 A000005 NICHOLAS-APPLGATE SECURITIES LLC  
014 B000005 8-00000  
014 A000006 ALLIANZ GLOBAL INVESTORS  
014 B000006 8-041811  
014 A000007 OPPENHEIMER CAPITAL LLC  
014 B000007 8-00000  
014 A000008 ALLIANZ LIFE FINANCIAL SERVICES LLC  
014 B000008 8-013630  
014 A000009 QUESTAR CAPITAL CORP.  
014 B000009 8-050174

Edgar Filing: NICHOLAS APPLGATE CONVERTIBLE & INCOME FUND - Form NSAR-B

014 A000010 RCM DISTRIBUTORS  
014 B000010 8-065309  
014 A000011 US ALLIANZ SECURITIES  
014 B000011 8-00000  
015 A000001 BROWN BROTHERS HARRIMAN & CO.  
015 B000001 C  
015 C010001 BOSTON  
015 C020001 MA  
015 C030001 02109  
015 E010001 X  
018 000000 Y  
019 A000000 Y  
019 B000000 73  
019 C000000 ALLIANZGLO  
020 A000001 BEAR STEARNS COS., INC.  
020 B000001 13-3286161  
020 C000001 36  
020 A000002 WACHOVIA SECURITIES, INC.  
020 B000002 54-0796506  
020 C000002 25  
020 A000003 THE GOLDMAN SACHS GROUP, INC.  
020 B000003 13-4019460  
020 C000003 20  
020 A000004 INSTINET GROUP, INC.  
020 B000004 13-4134098  
020 C000004 19  
020 A000005 CITIGROUP, INC.  
020 B000005 52-1568099  
020 C000005 17  
020 A000006 LIQUIDNET, INC.  
020 B000006 13-4095933  
020 C000006 16  
020 A000007 MORGAN STANLEY  
020 B000007 36-3145972  
020 C000007 12  
PAGE 4  
020 A000008 LEHMAN BROTHERS HOLDINGS, INC.  
020 B000008 13-3216325  
020 C000008 11  
020 A000009 CREDIT SUISSE GROUP  
020 B000009 13-5659485  
020 C000009 10  
020 A000010 JP MORGAN CHASE & CO.  
020 B000010 13-2624428  
020 C000010 8  
021 000000 220  
022 A000001 LEHMAN BROTHERS HOLDINGS, INC.  
022 B000001 13-3216325  
022 C000001 197963  
022 D000001 74640  
022 A000002 MORGAN STANLEY  
022 B000002 36-3145972  
022 C000002 114686  
022 D000002 50031  
022 A000003 THE GOLDMAN SACHS GROUP, INC.  
022 B000003 13-4019460  
022 C000003 84889  
022 D000003 35995  
022 A000004 CITIGROUP, INC.  
022 B000004 52-1568099  
022 C000004 70136  
022 D000004 27001

Edgar Filing: NICHOLAS APPLGATE CONVERTIBLE & INCOME FUND - Form NSAR-B

022 A000005 CREDIT SUISSE GROUP  
022 B000005 98-0215385  
022 C000005 42783  
022 D000005 17598  
022 A000006 JP MORGAN CHASE & CO.  
022 B000006 13-2624428  
022 C000006 37922  
022 D000006 9649  
022 A000007 MERRILL LYNCH & CO., INC.  
022 B000007 13-2740599  
022 C000007 35731  
022 D000007 242  
022 A000008 BEAR STEARNS COS., INC.  
022 B000008 13-3286161  
022 C000008 20527  
022 D000008 15217  
022 A000009 FIRST TENNESSEE BANK NA  
022 B000009 62-0201385  
022 C000009 25672  
022 D000009 0  
022 A000010 UBS AG  
022 B000010 13-3873456  
022 C000010 12352  
022 D000010 9478  
023 C000000 707157  
PAGE 5  
023 D000000 284760  
024 000000 Y  
025 A000001 LEHMAN BROTHERS HOLDINGS, INC.  
025 B000001 13-3216325  
025 C000001 E  
025 D000001 58832  
025 A000002 WACHOVIA SECURITIES, INC.  
025 B000002 56-0276690  
025 C000002 D  
025 D000002 49212  
025 A000003 THE GOLDMAN SACHS GROUP, INC.  
025 B000003 13-4019460  
025 C000003 E  
025 D000003 36881  
025 A000004 MORGAN STANLEY  
025 B000004 36-3145972  
025 C000004 E  
025 D000004 30023  
025 A000005 CITIGROUP, INC.  
025 B000005 52-1568099  
025 C000005 E  
025 D000005 10458  
025 A000006 DELETE  
025 D000006 0  
025 A000007 DELETE  
025 D000007 0  
025 D000008 0  
026 A000000 N  
026 B000000 N  
026 C000000 N  
026 D000000 Y  
026 E000000 N  
026 F000000 N  
026 G010000 N  
026 G020000 N  
026 H000000 N

Edgar Filing: NICHOLAS APPLGATE CONVERTIBLE & INCOME FUND - Form NSAR-B

027	000000	N	
028	A010000		0
028	A020000		0
028	A030000		0
028	A040000		0
028	B010000		0
028	B020000		0
028	B030000		0
028	B040000		0
028	C010000		0
028	C020000		0
028	C030000		0
028	C040000		0
028	D010000		0
028	D020000		0
	PAGE	6	
028	D030000		0
028	D040000		0
028	E010000		0
028	E020000		0
028	E030000		0
028	E040000		0
028	F010000		0
028	F020000		0
028	F030000		0
028	F040000		0
028	G010000		0
028	G020000		0
028	G030000		0
028	G040000		0
028	H000000		0
030	A000000		0
030	B000000	0.00	
030	C000000	0.00	
031	A000000		0
031	B000000		0
032	000000		0
033	000000		0
035	000000		0
036	B000000		0
038	000000		0
042	A000000		0
042	B000000		0
042	C000000		0
042	D000000		0
042	E000000		0
042	F000000		0
042	G000000		0
042	H000000		0
043	000000		0
044	000000		0
045	000000	Y	
046	000000	N	
047	000000	Y	
048	000000	0.700	
048	A010000		0
048	A020000	0.000	
048	B010000		0
048	B020000	0.000	
048	C010000		0
048	C020000	0.000	
048	D010000		0

Edgar Filing: NICHOLAS APPLGATE CONVERTIBLE & INCOME FUND - Form NSAR-B

048 D020000 0.000  
048 E010000 0  
048 E020000 0.000  
048 F010000 0  
048 F020000 0.000  
PAGE 7  
048 G010000 0  
048 G020000 0.000  
048 H010000 0  
048 H020000 0.000  
048 I010000 0  
048 I020000 0.000  
048 J010000 0  
048 J020000 0.000  
048 K010000 0  
048 K020000 0.000  
049 000000 N  
050 000000 N  
051 000000 N  
052 000000 N  
053 A000000 N  
054 A000000 Y  
054 B000000 Y  
054 C000000 N  
054 D000000 N  
054 E000000 N  
054 F000000 N  
054 G000000 Y  
054 H000000 Y  
054 I000000 N  
054 J000000 Y  
054 K000000 N  
054 L000000 N  
054 M000000 Y  
054 N000000 N  
054 O000000 N  
055 A000000 N  
055 B000000 N  
056 000000 Y  
057 000000 N  
058 A000000 N  
059 000000 Y  
060 A000000 Y  
060 B000000 Y  
061 000000 0  
062 A000000 Y  
062 B000000 0.0  
062 C000000 0.0  
062 D000000 0.0  
062 E000000 0.0  
062 F000000 0.0  
062 G000000 0.0  
062 H000000 0.0  
062 I000000 0.0  
062 J000000 5.5  
062 K000000 0.0  
062 L000000 0.0  
PAGE 8  
062 M000000 4.3  
062 N000000 0.0  
062 O000000 0.0  
062 P000000 62.8

Edgar Filing: NICHOLAS APPLGATE CONVERTIBLE & INCOME FUND - Form NSAR-B

062	Q000000	84.3
062	R000000	0.0
063	A000000	0
063	B000000	7.7
064	A000000	N
064	B000000	N
066	A000000	N
067	000000	N
068	A000000	N
068	B000000	N
069	000000	N
070	A010000	Y
070	A020000	N
070	B010000	Y
070	B020000	N
070	C010000	Y
070	C020000	N
070	D010000	Y
070	D020000	N
070	E010000	Y
070	E020000	N
070	F010000	Y
070	F020000	N
070	G010000	Y
070	G020000	N
070	H010000	Y
070	H020000	N
070	I010000	N
070	I020000	N
070	J010000	Y
070	J020000	Y
070	K010000	Y
070	K020000	N
070	L010000	Y
070	L020000	N
070	M010000	N
070	M020000	N
070	N010000	Y
070	N020000	N
070	O010000	Y
070	O020000	N
070	P010000	N
070	P020000	N
070	Q010000	N
070	Q020000	N
070	R010000	Y
070	R020000	N
	PAGE	9
071	A000000	767300
071	B000000	502368
071	C000000	1508190
071	D000000	33
072	A000000	12
072	B000000	77378
072	C000000	48754
072	D000000	0
072	E000000	1887
072	F000000	10828
072	G000000	0
072	H000000	0
072	I000000	29
072	J000000	193

Edgar Filing: NICHOLAS APPLGATE CONVERTIBLE & INCOME FUND - Form NSAR-B

072	K000000	0
072	L000000	211
072	M000000	93
072	N000000	0
072	O000000	0
072	P000000	0
072	Q000000	0
072	R000000	70
072	S000000	30
072	T000000	0
072	U000000	0
072	V000000	0
072	W000000	1465
072	X000000	12919
072	Y000000	0
072	Z000000	115100
072	AA000000	0
072	BB000000	13663
072	CC010000	0
072	CC020000	133242
072	DD010000	134534
072	DD020000	0
072	EE000000	0
073	A010000	1.8867
073	A020000	0.0000
073	B000000	0.0000
073	C000000	0.0000
074	A000000	96
074	B000000	0
074	C000000	49212
074	D000000	749926
074	E000000	605521
074	F000000	0
074	G000000	0
074	H000000	0
074	I000000	0
074	J000000	24190
	PAGE	10
074	K000000	0
074	L000000	22812
074	M000000	18
074	N000000	1451775
074	O000000	21468
074	P000000	788
074	Q000000	0
074	R010000	0
074	R020000	0
074	R030000	0
074	R040000	9476
074	S000000	525000
074	T000000	895043
074	U010000	71507
074	U020000	0
074	V010000	12.52
074	V020000	0.00
074	W000000	0.0000
074	X000000	113
074	Y000000	0
075	A000000	0
075	B000000	1021845
076	000000	12.50
077	A000000	Y



Edgar Filing: NICHOLAS APPLGATE CONVERTIBLE & INCOME FUND - Form NSAR-B

077 B000000 Y  
077 C000000 Y  
077 D000000 N  
077 E000000 N  
077 F000000 N  
077 G000000 N  
077 H000000 N  
077 I000000 N  
077 J000000 N  
077 K000000 N  
077 L000000 N  
077 M000000 N  
077 N000000 N  
077 O000000 N  
077 P000000 N  
077 Q010000 N  
077 Q020000 N  
077 Q030000 N  
078 000000 N  
080 A000000 ICI MUTUAL INSURANCE COMPANY  
080 C000000 70500  
081 A000000 Y  
081 B000000 72  
082 A000000 Y  
082 B000000 250  
083 A000000 N  
083 B000000 0  
PAGE 11  
084 A000000 N  
084 B000000 0  
085 A000000 Y  
085 B000000 N  
086 A010000 0  
086 A020000 0  
086 B010000 0  
086 B020000 0  
086 C010000 0  
086 C020000 0  
086 D010000 0  
086 D020000 0  
086 E010000 0  
086 E020000 0  
086 F010000 0  
086 F020000 0  
087 A010000 CORPORATE BONDS & NOTES  
087 A020000 65370F100  
087 A030000 NCV  
087 B010000 CONVERTIBLE BONDS & NOTES  
087 B020000 65370F100  
087 B030000 NCV  
087 C010000 CONVERTIBLE PREFERRED STOCK  
087 C020000 65370F100  
087 C030000 NCV  
088 A000000 Y  
088 B000000 N  
088 C000000 N  
088 D000000 Y  
SIGNATURE LAWRENCE ALTADONNA  
TITLE TREASURER & CEO